

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

NOVARTIS AG  
Lichtstrasse 35  
CH-4056, Basel  
Switzerland

Civil Action No. \_\_\_\_\_

Plaintiff,

v.

HON. JOHN J. DOLL  
Acting Under Secretary of Commerce for  
Intellectual Property and Acting Director of the  
United States Patent and Trademark Office  
Office of the General Counsel  
United States Patent and Trademark Office  
P.O. Box 15667, Arlington, VA 22215  
Madison Building East, Room 10B20  
600 Dulany Street, Alexandria, VA 22314

Defendant.

**COMPLAINT**

Plaintiff Novartis AG (“Novartis” or “Plaintiff”), for its complaint against the Honorable John J. Doll (“Doll” or “Defendant”), states as follows:

**NATURE OF THE ACTION**

1. This is an action by Novartis, the owner and assignee of United States Patent No. 7,470,792 (“the ‘792 patent”) for review of the determination by Defendant, pursuant to 35 U.S.C. § 154(b)(3)(B), of the patent term adjustment of the ‘792 patent. Novartis seeks a judgment, pursuant to 35 U.S.C. § 154(b)(4)(A), that the patent term adjustment for the ‘792 patent be changed from 218 days to 423 days.

2. This action arises under 35 U.S.C. § 154 and the Administrative Procedure Act, 5 U.S.C. §§ 701-706.

**THE PARTIES**

3. Novartis is a corporation organized and existing under the laws of Switzerland, with its principal place of business at Lichtstrasse 35, CH-4056, Basel, Switzerland.

4. Doll is the Acting Under Secretary of Commerce for Intellectual Property and Acting Director of the United States Patent and Trademark Office ("PTO"), acting in his official capacity. The Acting Director is the head of the PTO and is responsible for superintending or performing all duties required by law with respect to the granting and issuing of patents, and is designated by statute as the official responsible for determining the period of patent term adjustments under 35 U.S.C. § 154(b)(3)(B).

**JURISDICTION AND VENUE**

5. This Court has jurisdiction to hear this action and is authorized to issue the relief sought pursuant to 28 U.S.C. §§ 1331, 1338(a) and 1361, 35 U.S.C. § 154(b)(4)(A), and 5 U.S.C. §§ 701-706.

6. Venue is proper in this district by virtue of 35 U.S.C. § 154(b)(4)(A).

7. This Complaint is being timely filed in accordance with 35 U.S.C. § 154(b)(4)(A).

**FACTS**

8. Novartis is the assignee of all right, title and interest in the '792 patent, as evidenced by records on deposit with the PTO and the face of the '792 patent. As such, Novartis is the real party in interest in this case.

9. Guido Koch and Olivier Loiseleur are the inventors of patent application number 10/526,283 ("the '283 application") which was filed (*i.e.*, met all 371(c) requirements) on September 1, 2005 (the "Filing Date"). The '283 application claims Patent Cooperation Treaty

(“PCT”) priority to September 13, 2002, the commencement date for international patent application number PCT/EP2003/010171 (the “PCT Priority Date”).

10. On May 25, 2007, the PTO mailed a Requirement for Restriction/Election as to the ‘283 application (the “First Office Action”).

11. On November 7, 2007, Novartis filed its response to the First Office Action.

12. On August 26, 2008, the PTO mailed a Notice of Allowance and Fees Due for the ‘283 application (“Notice of Allowance”). Included in the Notice of Allowance was a Determination of Patent Term Adjustment in which the PTO indicated that the patent term adjustment to date for the ‘283 application was 131 days.

13. On November 25, 2008, Novartis paid the issue fee for the ‘283 application, thereby satisfying all outstanding requirements for issuance of a patent therefrom.

14. On December 10, 2008, the PTO mailed an Issue Notification for the ‘283 application. Included in the Issue Notification was a Determination of Patent Term Adjustment in which the PTO indicated that the patent term adjustment for the ‘283 application was 131 days.

15. On December 30, 2008, the ‘283 application issued as the ‘792 patent, reflecting a patent term adjustment of 131 days. A true and correct copy of the ‘792 patent is attached hereto as Exhibit A.

16. On February 13, 2009, Novartis filed with the PTO an Application for Patent Term Adjustment Post-Grant requesting that the PTO change its patent term adjustment for the ‘792 patent (the “PTA Application”).

17. On June 19, 2009, the PTO granted in part the PTA Application, extending the term of the patent by 218 days rather than 131 days.

18. 35 U.S.C. § 154(b) requires that patent terms be adjusted to compensate for failure of the PTO to take certain actions on patent applications within designated time limits. 35 U.S.C. § 154(b)(3) requires the Director of the PTO to determine the patent term adjustment for each patent.

19. In calculating the patent term adjustment, the Director must take into account PTO delays under 35 U.S.C. § 154(b)(1), any overlapping periods in the PTO delays under 35 U.S.C. § 154(b)(2)(A), and any applicant delays under 35 U.S.C. § 154(b)(2)(C).

20. Under 35 U.S.C. § 154(b)(4)(A), “[a]n applicant dissatisfied with a determination made by the Director under paragraph (3) shall have remedy by a civil action against the Director filed in the United States District Court for the District of Columbia within 180 days after the grant of the patent. Chapter 7 of title 5 shall apply to such action.”

**CLAIM FOR RELIEF**

21. The allegations of paragraphs 1-20 are incorporated in this claim for relief as if fully set forth herein.

22. The currently challenged patent term adjustment for the ‘792 patent, as determined by the Defendant under 35 U.S.C. § 154(b) and listed on the face of the ‘792 patent, is 131 days. (See Exh. A at p. 1). This determination of the 131-day patent term adjustment is in error.

23. Under 35 U.S.C. § 154(b)(1)(A)(i), Novartis is entitled to an adjustment of the term of the ‘792 patent for a period of 205 days, the number of days attributable to PTO examination delay (“A Delay”). The A Delay period of 205 days is due to the PTO’s failure to mail an action under 35 U.S.C. § 132 not later than 14 months from the actual filing date of the application. This period consists of the length of time from November 1, 2006 (14 months after the Filing Date) to May 25, 2007 (the mailing date of the First Office Action).

24. Under 35 U.S.C. § 154(b)(1)(B), Novartis is entitled to an additional adjustment of the term of the '792 patent for a period of 292 days, the number of days attributable to the PTO's "failure . . . to issue a patent within 3 years after the actual filing date of the application in the United States," but not including "any time consumed by continued examination of the application requested by the applicant under section 132 (b)" ("B Delay"). The B Delay period consists of the period commencing March 13, 2008 (three years and thirty months after the PCT Priority Date) until December 30, 2008 (the issue date of the '792 patent).

25. 35 U.S.C. § 154(b)(2)(A) provides that "to the extent that periods of delay attributable to grounds specified in paragraph [154(b)(1)] overlap, the period of any adjustment granted under this subsection shall not exceed the actual number of days the issuance of the patent was delayed." For the '792 patent, none of the A Delay period overlaps with the B Delay period. Therefore, there is no period of overlap to be excluded from the determination of patent term adjustment for the '792 patent under 35 U.S.C. § 154(b)(2)(A).

26. 35 U.S.C. § 154(b)(2)(C)(i) provides that "the period of adjustment of the term of a patent under paragraph [154(b)(1)] shall be reduced by a period equal to the period of time during which the applicant failed to engage in reasonable efforts to conclude prosecution of the application." 35 U.S.C. § 154(b)(2)(C)(ii) provides that "an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of 3 months that are taken to respond to a notice from the Office making any rejection, objection, argument, or other request." ("C Reduction").

27. For the '792 patent, C Reduction is attributable only to the delay by Novartis in filing its response to the First Office Action on November 7, 2007, a date in excess of 3 months by 74 days.

28. Accordingly, the correct patent term adjustment for the '792 patent is 423 days: the sum of the 205 days of A Delay and the 292 days of B Delay, minus the 74 days of C Reduction.

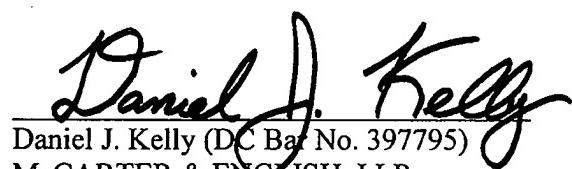
29. Defendant's determination that the period of the patent term adjustment for the '792 patent is only 218 days is arbitrary, capricious, an abuse of discretion, or otherwise not in accordance with law and in excess of statutory jurisdiction, authority or limitation.

30. Moreover, Defendant's determination that the period of the patent term adjustment for the '792 patent is only 218 days conflicts with this Court's judgment in Wyeth v. Dudas, 580 F.Supp.2d 138 (D.D.C. 2008), which explains the proper method for calculating patent term adjustments under 35 U.S.C. § 154(b).

WHEREFORE, Novartis respectfully prays that this Court:

- A. Issue an Order changing the period of patent term adjustment for the '792 patent from 218 days to 423 days, and requiring Defendant to alter the term of the '792 patent to reflect the 423-day patent term adjustment; and
- B. Grant such other and further relief as the nature of the case may admit or require and as may be just and equitable.

Respectfully submitted,



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*Attorneys for Plaintiff Novartis AG*

# EXHIBIT A



**(12) United States Patent**  
Koch et al.

**(10) Patent No.:** US 7,470,792 B2  
**(45) Date of Patent:** Dec. 30, 2008

**(54) PROCESS FOR THE PREPARATION OF EPOTHILONE DERIVATIVES, NEW EPOTHILONE DERIVATIVES AS WELL AS NEW INTERMEDIATE PRODUCTS FOR THE PROCESS AND THE METHODS OF PREPARING SAME**

WO	WO 99/54330	10/1999
WO	WO 01/07439	2/2001
WO	WO 02/30356	4/2002
WO	WO 2004/012735	2/2004

**(75)** Inventors: Guido Koch, Riehen (CH); Olivier Loiseleur, Saint-Louis (FR)

**OTHER PUBLICATIONS**

Nicolaou et al., "Total Synthesis of 16-Desmethylepothilone B, Epothilone B10, Epothilone F, and Related Side Chain Modified Epothilone B Analogues", *Chem Euro J*, vol. 6, No. 15, pp. 2783-2800 (2000).

Höfle, Glaser, Leibold and Sefkow, "Epothilone A-D and Their Thiazole-Modified Analogs as Novel Anticancer Agents", *Pure Appl Chem*, vol. 71, No. 11, pp. 2019-2024 (1999).

**(21) Appl. No.:** 10/526,283

*Primary Examiner*—Rei-tsang Shiao

**(22) PCT Filed:** Sep. 12, 2003

*Assistant Examiner*—Joseph R Kosack

**(86) PCT No.:** PCT/EP03/10171

*(74) Attorney, Agent, or Firm*—George R. Dohmann

§ 371 (c)(1),  
(2), (4) Date: Sep. 1, 2005

**(57) ABSTRACT**

**(87) PCT Pub. No.:** WO2004/024735

The present invention provides a synthesis for the preparation of epothilone derivatives of formula 9

**(65) Prior Publication Data**

US 2006/0106073 A1 May 18, 2006

**(30) Foreign Application Priority Data**

Sep. 13, 2002 (GB) ..... 0221312.2

**(51) Int. Cl.**

C07D 417/14 (2006.01)

C07D 313/00 (2006.01)

**(52) U.S. Cl. ....** 548/181; 549/270

**(58) Field of Classification Search ....** 548/181;

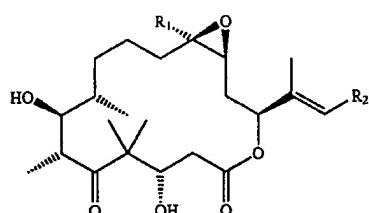
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See application file for complete search history.

**(56) References Cited**

**FOREIGN PATENT DOCUMENTS**

WO WO 98/25929 6/1998



wherein R1 is methyl, and R2 is an unsubstituted or substituted aryl; an unsubstituted or substituted heteroaryl; or an unsubstituted or substituted heterocyclic radical fused to a benzene nucleus; and salts thereof, and intermediates for the synthesis of a compound of formula 9.

**13 Claims, No Drawings**

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**PROCESS FOR THE PREPARATION OF  
EPOTHILONE DERIVATIVES, NEW  
EPOTHILONE DERIVATIVES AS WELL AS  
NEW INTERMEDIATE PRODUCTS FOR THE  
PROCESS AND THE METHODS OF  
PREPARING SAME**

The invention relates to a new process for the production of epothilone derivatives having not only the 2-methyl-thiazole substituent but, for example, other heteroaryl or aryl substituents or heterocyclic radicals fused to a benzene nucleus and methyl as substituent in 12-position within the epothilone structure, new epothilone derivatives as well as intermediate products for the process and methods for preparing them. Said intermediates are new compounds as such and part of the invention.

The epothilones (16-membered macrolides which were initially isolated from the myco-bacterium *Sorangium cellulosum*) represent a class of promising anti-tumor agents, and have been found to be potent against various cancer lines, including breast cancer cell lines.

These agents have the same biological mechanism of action as Taxol, an anti-cancer drug currently used as primary therapy for the treatment of breast cancer and have been reported to be more potent than Taxol.

Potential applications of the epothilones are the treatment of Alzheimer's disease, malaria and diseases caused by gram-negative organisms. In particular, epothilones are suitable for the treatment of proliferative diseases.

The term "proliferative disease" relates especially to solid tumor diseases, liquid tumors, e.g. leukemia, and psoriasis.

The term "solid tumor disease" especially means breast cancer, cancer of the colon and generally the GI tract including gastric cancer, hepatoma; lung cancer, in particular small-cell lung cancer and non-small-cell lung cancer, renal cancer, mesothelioma, glioma, squamous cell carcinoma of the skin, head and neck cancer, genitourinary cancer, e.g. cervical, uterine, ovarian, testicles, prostate or bladder cancer, Hodgkin's disease, carcinoid syndrome or Kaposi's sarcoma.

Epothilone derivatives are already described, e.g., in WO 97/19086. These derivatives are produced starting from natural epothilone A and B.

The total synthesis of epothilone A is described by Schinzer et al in Chem. Eur. J. 1996, 2, No. 11, 1477-1482. Another synthesis of epothilone A and B and derivatives is described by K. C. Nicolaou et al. in Angew. Chem. 1997, 109, 170-172 and Nature, Vol. 387, 1997, 268-272.

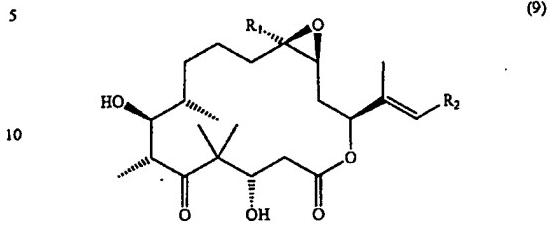
In Chem. Commun. 1997, pp. 2343-2344, K. C. Nicolaou et al describe a total synthesis of 26-hydroxy-epothilone B and related compounds involving a selective Wittig olefination reaction, an aldol reaction and macrolactonization as key steps. In more detail the total synthesis of 26-hydroxy-epothilone B and related analogues via a macrolactonization based strategy has been described in Tetrahedron 54 (1998), 7127-7166 by K. C. Nicolaou et al.

Furthermore in WO 98/25029 K. C. Nicolaou et al describe and claim the synthesis of epothilone A, epothilone B, epothilone analogues, libraries of epothilone analogues by using solid phase and solution phase chemistries.

The embodiment and goal of the present invention is to overcome all the drawbacks of the known processes and to provide a more simple and improved process for the preparation of the above mentioned epothilones and epothilone derivatives and salts thereof which is also feasible on industrial scale by shortening the sequence of the synthetic route and which process constitutes a basis of high overall yield in average and provides precursors and final products of high purity.

2

A synthesis for the preparation of epothilone derivatives of formula 9



wherein

R1 is methyl, and

R2 has the meaning of an unsubstituted or substituted aryl, an unsubstituted or substituted heteroaryl or an unsubstituted or substituted heterocyclic radical fused to a benzene nucleus, and salts thereof.

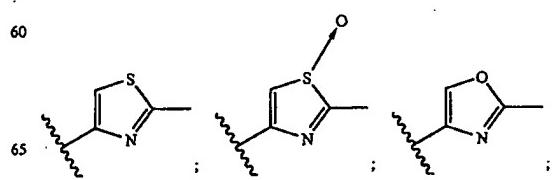
The prefix "lower" denotes a radical having up to and including a maximum of 6, especially up to and including a maximum of 4 carbon atoms, the radicals in question being either unbranched or branched with single or multiple branching.

R2 as unsubstituted or substituted aryl is preferably an aromatic radical with 6 to 14 carbon atoms, especially phenyl, naphthyl, fluorenol or phenanthrenyl, whereby the said radical is unsubstituted or is substituted by one or more substituents, preferably up to three, primarily one or two substituents, especially those selected from amino; lower alkanoylamino, especially acetylamino; halogen, especially fluorine, chlorine or bromine; lower alkyl, especially methyl or also ethyl or propyl; halogen-lower alkyl, especially trifluoromethyl; hydroxy; lower alkoxy, especially methoxy or also ethoxy; phenyl-lower alkoxy, especially benzyloxy; nitro, cyano, C<sub>8</sub>-C<sub>12</sub>-alkoxy, especially n-decyloxy, carbamoyl, lower alkyl-carbamoyl, such as N-methyl- or N-tert-butylcarbamoyl, lower alkanoyl, such as acetyl, phenoxy, halogen-lower alkyloxy, such as trifluoromethoxy or 1,1,2,2-tetrafluoroethyl-hydroxy, lower alkoxy carbonyl, such as ethoxycarbonyl, lower alkylmercapto, such as methyl-mercapto, halogen-lower alkylmercapto, such as trifluoromethylmercapto, hydroxy-lower alkyl, such as hydroxymethyl or 1-hydroxymethyl, lower alkanesulphonyl, such as methanesulphonyl, halogen-lower alkanesulphonyl, such as trifluoromethanesulphonyl, phenylsulphonyl, dihydroxybora (—B(OH)<sub>2</sub>), 2-methyl-pyrimidin-4-yl, oxazol-5-yl, 2-methyl-1,3-dioxolan-2-yl, 1H-pyrazol-3-yl, 1-methyl-pyrazol-3-yl; and lower alkylene-dioxy which is bonded to two adjacent carbon atoms, such as methylenedioxy.

R2 as aryl is especially phenyl.

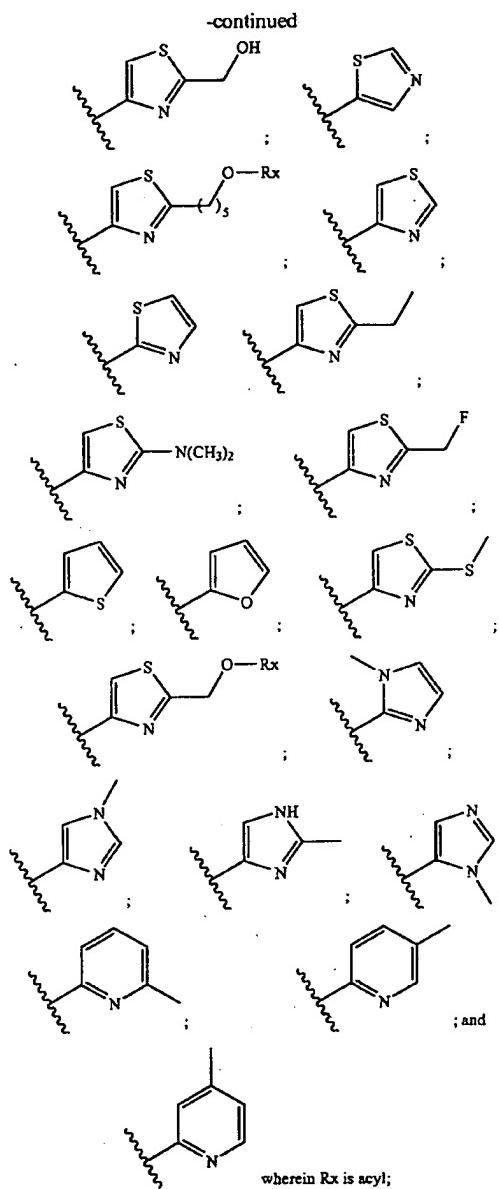
Halogen is especially fluorine, chlorine, bromine, or iodine, in particular fluorine or chlorine.

R2 being an unsubstituted or substituted heteroaryl is, for example, a radical selected from following structures:

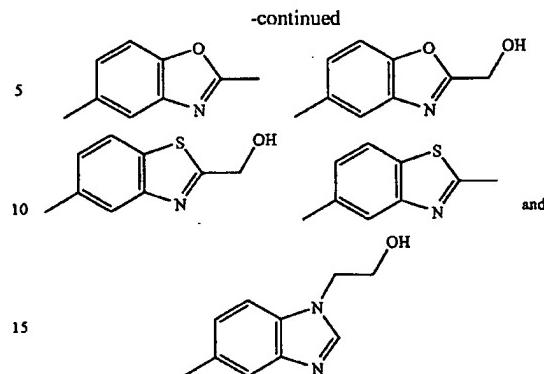


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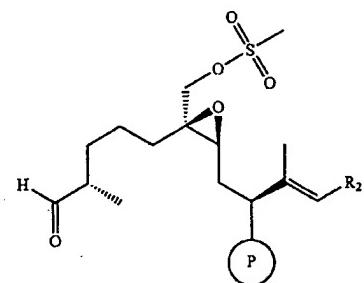


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20 The new synthesis comprises following sequences:  
a) reacting a compound of formula 1

(1)

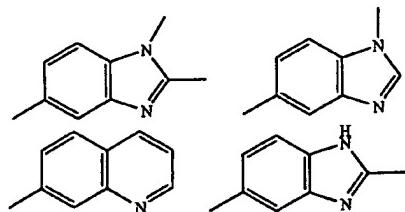


40 wherein R2 has the meanings given above and the mesylate group may be replaced by a tosylate group and the like and  
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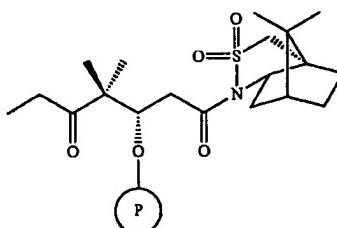
50 is an alcohol protecting group preferably a silyl protecting group, more preferably any of the later on listed silyl ether forming groups and most preferably a lower alkyl silyl protecting group preferably selected from TES, TBDS, TPS, with a sultam derived compound of formula 2 as, for example,

R2 as heterocyclic radical being fused to a benzene nucleus  
is a radical selected for example from the radicals of formulae 55



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(2)



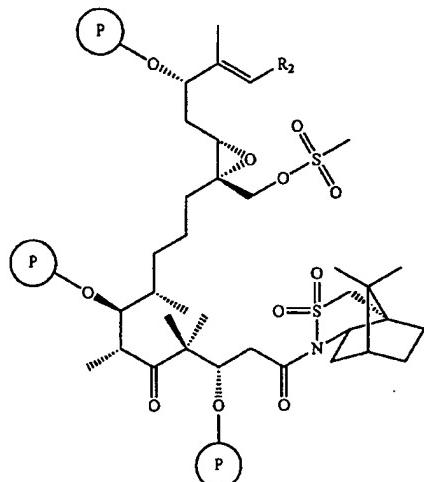
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wherein

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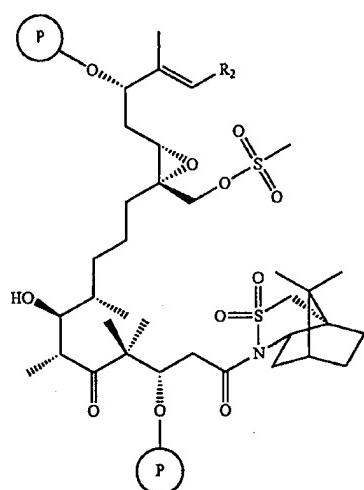
(4)



(3) 20

25 wherein R2 and

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45 wherein R2 has the meanings given above.

The compound of formula 2 as given above may be replaced by a compound of formula 2 wherein

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55 is an alcohol protecting group preferably a silyl protecting group, more preferably any of the later on listed silylether forming groups and most preferably a lower alkyl silyl protecting group preferably selected from TES, TBDS, TPS.

60 The compounds of formula 3 are new compounds and are used as intermediates for the next step b) of the process sequence, and

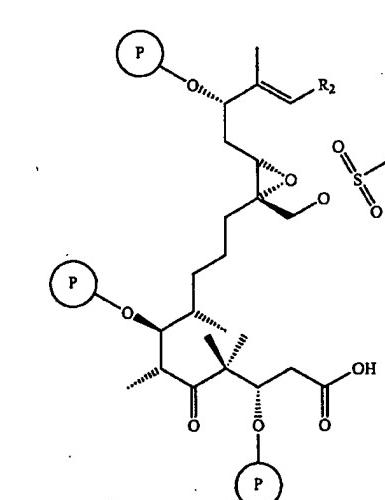
65 b) the obtained compounds of above formula 3 are reacted at temperatures between -70 and +25° C. in the presence of a silyl-ether forming compound and in the presence of 2,6-lutidine forming compounds of formula 4

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have the meanings given above.

35 The compounds of formula 4 are new compounds and are used as precursors for the next step c) of the process sequence, and

40 c) converting an above compound of formula 4 to the carboxylic acid by splitting off the chiral auxiliary group with TBAOH/H2O2 in DME or LiO2H in THF/MeOH/H2O obtaining compound of formula 5



(5)

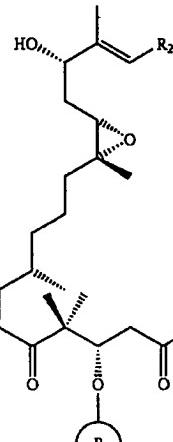
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wherein R2 and

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(7)



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(6)

25 wherein R2 and

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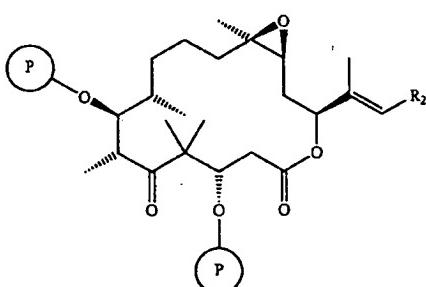
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(8)



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wherein R2 and

wherein R2 and

have the above given meanings.

The obtained compounds of formula 5 are new compounds and are used as intermediates for the next step d) of the process sequence, and

e) hydrolysing the tri-protected tri-silylether compounds of above formula 6 with a desilylation reagent or an acid in an inert solvent or a mixture thereof, e.g. TASF or HF-pyridine in THF, obtaining a selectively desilylated compound of formula 7

have the above defined meanings.

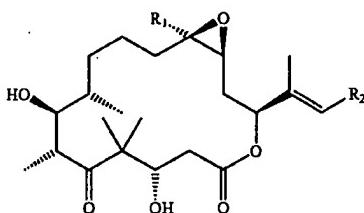
60 The obtained compounds of formula 8 are new compounds and are used as precursors for the next step g) of the process sequence, and

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- g) treating an obtained compound of formula 8 with HF-pyridine in an inert solvent at temperatures between 0°-30° C. and cleaving both silyl ether protecting groups obtaining epothilone derivatives of formula 9

(9)



wherein R1 is methyl and R2 has the above described meanings and optionally converting compounds of formula 9 to a salt with metal cations by conventional methods.

The transformation of epothilone B to the corresponding lactam is disclosed in Scheme 21 (pages 31 and 32) and Example 3 of WO99/02514 (pages 48-50). The transformation of a compound of formula 9 which is different from epothilone B into the corresponding lactam compound may be achieved analogously.

Inert solvents mentioned in one of the reaction steps a) to g) encompass, but are not limited to methanol, ethanol, propanol, dichloromethane, dichloroethane, DMF, tetrahydrofuran (THF), benzene, toluene, pyridine, ethylacetate, acetone or t-butyl-methyl ether (TBME), hexane or heptane and the like and mixtures thereof.

Organic bases mentioned in one of the reaction steps a) to g) encompass, but are not limited to organic amines such as unsubstituted or hydroxy-substituted mono-, di- or tri-alkylamines, especially mono-, di- or tri-lower alkyl-amines, e.g. methylamine, dimethylamine, di-n-propylamine, triethylamine, tri-n-propylamine, tri-n-butylamine and di-isopropylethylamine ( $iPr_2Ne$ ), as nitrogen heterocycles, ethylene-imine, pyrrolidine, piperidine and morpholine, especially 4-dimethylamino-pyridine (DMAP), pyridine, 2,6-lutidine, 2,6-di-tert-butylpyridine and the like.

Metallo-organic bases are e.g. LDA (lithium diisopropylamide), BuLi, sec.BuLi, KHMDS, LiHMDS, or NaHMDS.

Reducing agents are e.g. DIBAL-H (diisobutylaluminium-hydride), LiAlH<sub>4</sub> (Lithiumaluminium-hydride), lithium triethylborohydride and the like.

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are alcohol protecting groups commonly used in organic synthesis and should protect the functional hydroxy groups against unwanted secondary reactions. Silyl-ether forming compounds are for example standard protecting groups used very commonly in organic synthesis and preferably a silyl protecting group is lower alkylsilyl more preferably a silyl protecting group is selected from TMS (trimethyl-silyl), TES (triethyl-silyl), TPS(tri-n-propylsilyl), TBDS(tertiary-butyl-dimethylsilyl), DEIPS(diethyl-isopropyl-silyl), IPDMS (dimethyl-isopropyl-silyl), TDS(hexyl-dimethylsilyl), TIPS (tri-isopropyl-silyl), THP (tetrahydropyranyl-silyl) or the like, preferably TES, TPS or TBDMs most preferably TPS. If a compound contains more than one protecting group the

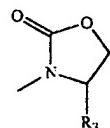
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protecting groups are selected independently of each other and may be all the same, all different or any combination thereof, preferably the protecting groups are all the same, most preferably the protecting groups will be TPS.

- 5 The term pharmaceutically acceptable metal salts contemplates salts formed with the sodium, potassium, calcium, magnesium, aluminium, iron and zinc ions. The salts are prepared by conventional methods.

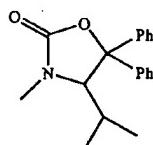
Suitable acids for cleaving the bis-trimethylsilyloxy groups of the compound of formula 4 by hydrolysis are weak organic acids which do not open the epoxide ring of the epothilone structure and are for example dilute acetic acid, propionic acid, glycolic acid, lactic acid, fumaric acid, succinic acid, adipic acid, pimelic acid, suberic acid, azelaic acid, malic acid, tartaric acid, maleic acid, mandelic acid, amino acids, such as glutamic acid or aspartic acid, especially citric acid and the like. Especially may be mentioned HF-pyridine in THF or HF-pyridine in pyridine.

Chiral auxiliary groups used for the aldol reaction according to a) of the process sequence are for example the sultam auxiliary group or oxazolidinone groups, e.g.



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especially



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and other known chiral auxiliary groups.

The reaction steps a) to g) of the mentioned process sequence for the preparation of the compounds of formula 9 are carried out in more detail described as follows:

- a) Compounds of formula 1 are reacted with a compound of formula 2 for example in the presence of  $TiCl_4$  and Hünig base ( $iPr_2NET$ ) in dichloromethane at a temperature of -78° C. and thereafter at a temperature of 0° C. obtaining new compounds of formula 3.
- b) The obtained compounds of formula 3 are reacted with a silyl-ether forming compound e.g. TPSCl, TBDMsOTf in the presence of 2,6-lutidine at temperatures between -20° and 25° C., especially at a temperature of -10° C. in dichloromethane as inert solvent forming compounds of formula 4.
- c) The obtained compounds of formula 4 are converted to the carboxylic acid by splitting off the sultam auxiliary group with TBAOH/H<sub>2</sub>O<sub>2</sub> in DME or LiO<sub>2</sub>H in THF/MeOH/H<sub>2</sub>O obtaining a compound of formula 5.
- d) The obtained compounds of formula 5 are reacted with LiBHET<sub>3</sub> as reducing reagent in THF as inert solvent for cleaving the mesylate or tosylate group or the like obtaining a compound of formula 6.
- e) The obtained compounds of formula 6 are hydrolysed with a desilylation reagent, especially with TASF or an organic

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acid, especially HF-pyridine in an inert solvent, e.g. pyridine or THF, obtaining compounds of formula 7.

f) The obtained compounds of formula 7 are macrolactonized according to Yamaguchi et al, e.g. treating the hydroxy acid with Et<sub>3</sub>N and 2,4,6-trichlorobenzoyl chloride at lower temperature, e.g. 0° C. and thereafter the reaction mixture is added to a solution of 4-DMAP in toluene and the temperature raised to ca. 75° C. obtaining compounds of formula 8.

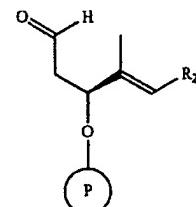
g) The obtained protected epothilone derivatives of formula 8 are treated with HF-pyridine in pyridine as inert solvent and after cleavage of both silyl ether protecting groups (TPS, TES, TBDMS) epothilone derivatives of formula 9 are obtained,

wherein R<sub>1</sub> is methyl and R<sub>2</sub> has the above described meanings and optionally converting compounds of formula 9 wherein R<sub>1</sub> and R<sub>2</sub> have the defined meanings under formula 9 to a salt with metal cations by conventional methods.

The used starting compounds for the process sequence of formula 1 wherein R<sub>2</sub> has the meaning of an unsubstituted or substituted aryl, an unsubstituted or substituted heteroaryl or an unsubstituted or substituted heterocyclic radical fused to a benzene nucleus are used as key-intermediates for the preparation of epothilone derivatives of formula 9 are new and are prepared by following process sequence comprising steps a)-g), as given thereafter.

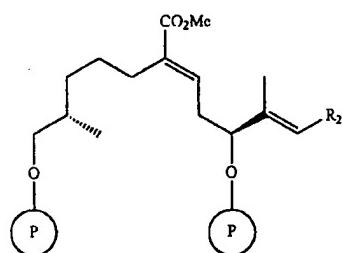
The detailed meanings of R<sub>2</sub> and

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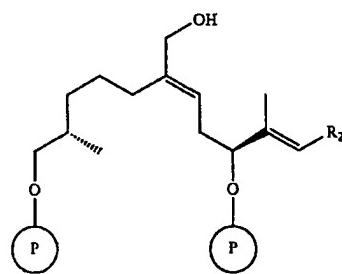
(XII)

15 in an inert solvent, e.g. in toluene at temperatures between 20° to 60° C., more precisely at a temperature of 40° C. obtaining a compound of formula XIII



(XIII)

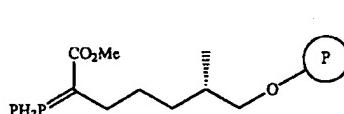
20 c) reducing a compound of formula (XIII) with a reducing agent, especially with DIBALH, in an inert solvent, e.g. toluene at temperatures between -50° to -100° C., more precisely at a temperature of -78° C. and thereafter elevating the temperature to 0° C. obtaining a compound of formula XIV



(XIV)

25 (the drawing of formula XIV and the following compounds thereafter have been simplified),

R<sub>2</sub> and



(XI)

30 with PPH<sub>3</sub> at temperatures between 50°-150° C., more precisely at a temperature 100° C. and thereafter with KHMDS in an inert solvent, especially in THF at 0° C. and thereafter cooling the reaction mixture to a temperature between -50° to -100° C., and treating with CH<sub>3</sub>CO<sub>2</sub>Cl more precisely at a temperature of -78° C. obtaining a compound of formula XI

50

35 have the above meanings, and

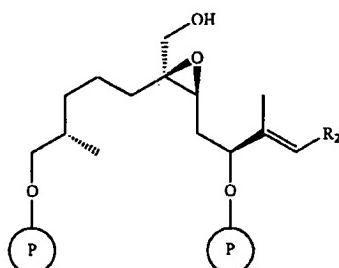
40 d) using the conditions under Sharpless [(+)-diethyl-L-tartrate, Ti(O*i*Pr)<sub>4</sub>, t-BuOOH] for epoxidation of a compound of formula XIV obtaining a compound of formula XV

45 and,  
b) reacting the obtained compound of formula XI with a compound of formula XII



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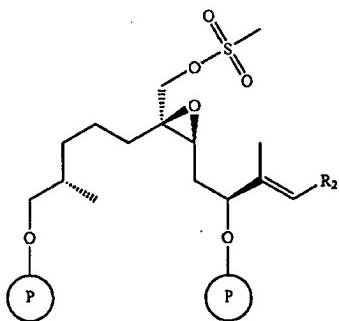
wherein R<sub>2</sub> and

have the above given meanings under formula 9 and formula 1 respectively.

The obtained compound of formula XV is a new compound and is used as precursor for the next step e) of the process sequence, and

e) introducing the mesylate group into a compound of formula XV by adding mesylate chloride (the mesylate group may be replaced by a corresponding tosylate group or the like) in the presence of triethylamine ( $\text{Et}_3\text{N}$ ) in an inert solvent, e.g. dichloromethane obtaining a compound of formula XVI

(XVI)

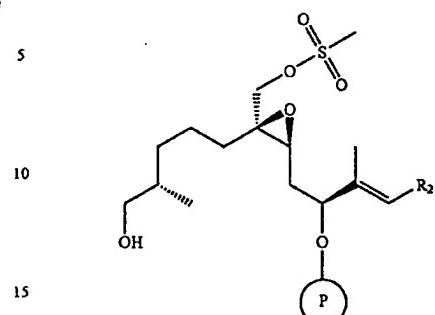
wherein R<sub>2</sub> and

have the above given meaning.

The obtained compound of formula XVI is a new compound and is used as intermediate for the next step f), and

f) treating the obtained compound of formula XVI with an organic acid in an inert solvent, more precisely with pyridinium p-toluenesulfonate or camphor sulfonic acid in absolute ethanol, hydrolysing the one protecting groups and obtaining a compound of formula XVII

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(XVII)

wherein R<sub>2</sub> has the above given meanings, and

20 g) oxidizing by using the Swern-oxidation method, e.g. oxidizing the alcoholic group by the promotion of oxalyl chloride and activation of dimethyl sulfoxide, passing the alkoxy sulfonium salts and after addition of a base and intramolecularly rearrangement obtaining a keto compound of formula 1 used in the previous inventive process sequence as starting compound.

The inventive process sequence for producing epothilone derivatives of formula 9 shows many advantages in comparison with other known and published synthesis:

30 The early introduction of the epoxide avoids surprisingly a problematic epoxidation step at late intermediates within the synthesis sequence,

A remarkable stabilization effect was detected for the mesylate and tosylate epoxides. Many steps can be carried out in the presence of these functional groups.

35 High yielding and highly diastereoselective titanium enolate aldol reaction between the  $\alpha$ -branched aldehyde and the ethylketone key fragments could be achieved.

The aldol reaction tolerates surprisingly the presence of the mesylate and tosylate epoxide.

40 The aldol reaction allows a highly convergent synthesis of epothilone derivatives.

The aldol reaction allows surprisingly to make use of the chiral sultam auxiliary as carboxylate protecting group and therefore avoids additional time consuming reduction and oxidation steps prior to the final macrolactonization step.

45 The inventive process sequence is a shorter synthetic route than any other published synthetic routes known in literature, furthermore a higher overall yield could be detected. All in all the summarized advantages underline the inventive step of mentioned process sequence.

A second aspect of the present invention are the novel intermediates, which are prepared according to the reaction described and are useful for the preparation of compounds of formula 9.

55 The epothilone derivatives of formula 9 for medical treatment may be administered by every known route and may be selected from the group consisting of the intravenous route, the intraarterial route, the intracutaneous route, the subcutaneous route, the oral route, the buccal route, the intramuscular route, the anal route, the transdermal route, the intradermal route, the intratechal route and the like.

Also sustained release formulations may be performed involving biodegradable microspheres, such as microspheres comprising polyacrylic acid, polyglycolic acid or mixtures of these.

65 The compounds of formula 9 of the invention can be used alone or in combination with other pharmaceutically active

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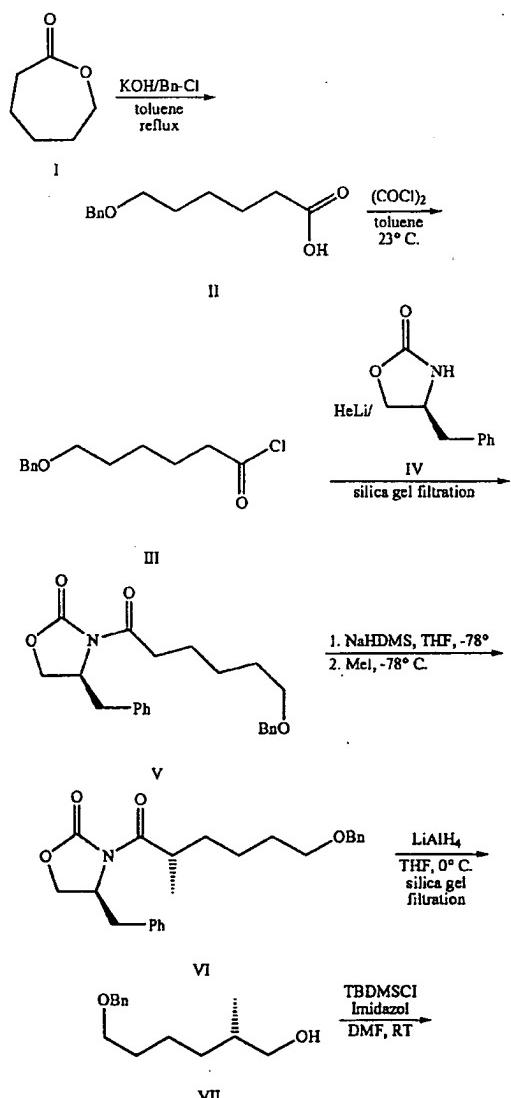
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substances, e.g. with other chemotherapeutics such as classical cytostatics. In the case of combinations with an other chemotherapeutic, a fixed combination of two or more components (e.g. kit of parts) are prepared as already known to a person of skill in the art, and the compound of the present invention and any other chemo-therapeutic are administered at an interval that allows common, additional or preferably synergistic effect for tumor treatment.

The following non limiting examples illustrate the inventor's preferred method for preparing the claimed compounds of the invention, which should not be construed as limiting the scope of this invention.

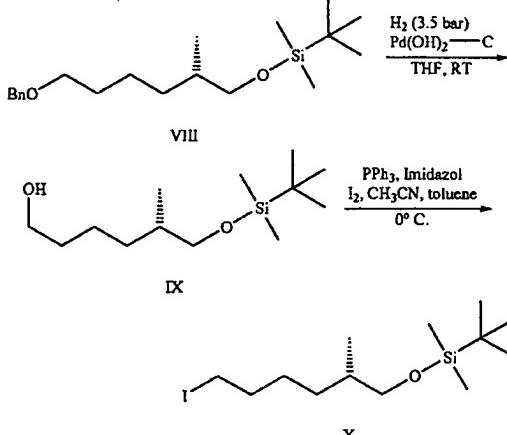
### EXAMPLE 1

### Compound X



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-continued



6-Benzyl-oxy-hexanoic acid (II) (*Can. J. Chem.* 1992, 70, 1427)

To a solution of 102.2 g (0.90 mol) of 6-caprolactone in 1.5 l of toluene was added 250.6 g (4.48 mol) of KOH and 113.3 ml (0.98 mol) of benzylchloride. The reaction mixture was stirred at reflux temperature for 20 h. The reaction was quenched with 1.7 l of water at room temperature. The aqueous layer was separated and the toluene layer was extracted with 200 ml of water. The combined aqueous phases were treated with 300 ml of HCl (conc.) at 12° C. to acidify the solution to pH 1.0. After extraction with 1.1 l of isopropyl acetate in two portions the combined organic extracts were washed with 300 ml of brine and dried over  $MgSO_4$ . Concentration under reduced pressure afforded 151.2 g (76%) of the acid II as a yellowish oil.  $R_f = 0.35$  ( $SiO_2$ , 95:5  $CH_2Cl_2 - CH_3OH$ ).

#### 6-Benzyl-oxy-hexanoyl chloride (III)

The crude acid II (110 g, 0.50 mol) was dissolved in 320 mL of toluene. To the solution was added 140  $\mu$ L of DMF followed by the addition of 56.7 mL (0.66 mol) of oxalylichloride. The reaction mixture was stirred at room temperature over night and concentrated in vacuo to afford 126 g of the acid chloride III which was directly used in the next step.

**(S)-4-Benzyl-3-(6-benzyloxy-hexanoyl)-oxazolidin-2-one (V)**

55 A solution of 87.7 g (0.50 mol) of (S)-4-benzyl-2-oxazo-  
 lidininone IV was dissolved in 1.4 l of THF and cooled to  
 -78 °C. Hexyllithium (2.5 M in hexanes) (178 ml, 0.45 mol)  
 was added followed by slow addition of a solution of acid  
 chloride III (126 g, 0.50 mol) in 100 ml of THF. After stirring  
 60 at -78 °C. for one additional hour, the resulting solution was  
 allowed to warm to 0 °C. Saturated aqueous ammonium chlo-  
 ride solution (400 ml) was added to quench the reaction. The  
 layers were separated and the organic layer was washed with  
 additional 100 ml of saturated ammonium chloride solution.  
 65 The THF layer was washed twice with 200 ml of 1 M NaOH  
 and with 500 ml of brine, dried over MgSO<sub>4</sub> and concen-  
 trated. The crude product (162.4 g) was purified by flash

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chromatography (200 g of  $\text{SiO}_2$ , heptane/TBME=80:20:60:20) to yield 110.1 g (58% over two steps) of the amide V.  $R_f=0.41$  ( $\text{SiO}_2$ , 1:1 hexanes-TBME).

(S)-4-Benzyl-3-[(S)-6-benzyloxy-2-methyl-hexanoyl]-oxazolidin-2-one (VI)

A THF (200 ml) solution of the amide V (120.1 g, 0.32 mol) was added to 372 ml (0.37 mol) of NaHMDS (1.0M in THF) in 380 ml of THF at  $-78^\circ\text{C}$ . followed by the addition of a  $\text{CH}_3\text{I}$  (78.4 ml, 1.26 mol) solution in 70 ml of THF. The reaction mixture was stirred for 2 h at  $-78^\circ\text{C}$ . Aqueous saturated ammonium chloride (670 ml) was added to quench the reaction. The layers were separated and the aqueous phase was extracted with 200 ml of TBME. The combined organic layers were washed twice with 250 ml of brine, dried over  $\text{MgSO}_4$  and concentrated in vacuo. The product VI (123.9 g) was obtained in 87% de and was directly used in the next step.  $R_f=0.50$  ( $\text{SiO}_2$ , 1:1 heptane-TBME);

(S)-6-Benzyloxy-2-methyl-hexan-1-ol (VII)

To a suspension of 13.2 g (0.35 mol) of  $\text{LiAlH}_4$  in 380 ml of THF was added a solution of amide VI in 250 ml of THF at  $0^\circ\text{C}$ . The mixture was stirred for 2 h at  $0^\circ\text{C}$ . and quenched with 14 ml of  $\text{H}_2\text{O}$ , 14 ml of 15% NaOH and 25 ml of  $\text{H}_2\text{O}$ . The precipitated aluminum salts were removed by filtration. After concentration of the filtrate, the crude product was purified by silica gel filtration (570 g of  $\text{SiO}_2$ , toluene/EtOAc=90:10, 3 l and toluene/EtOAc=80:20 1 l). The product VII was obtained as a colorless oil (54.5 g, 81% over two steps).  $R_f=0.24$  ( $\text{SiO}_2$ , 1:1 heptane-TBME); HPLC: 87% de (Chiracel OD, n-hexane/i-PrOH=95:5, 1.0 ml/min, 30° C.)  $t_R=10.07$  min.

(S)-6-Benzyloxy-2-methyl-hexyloxy]-tert-butyl-dimethyl-silane (VIII)

The alcohol VII (54.4 g, 0.25 mol) was dissolved in 100 ml of DMF and treated with 33.3 g (0.49 mol) of imidazole followed by the slow addition of a solution of 55.4 g (0.37

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mol) of tert-butyl-dimethylsilylchloride in 100 ml of DMF at  $0^\circ\text{C}$ . The mixture was stirred for 2 hours at  $20^\circ\text{C}$ ., then poured onto 240 ml of ice cold 0.1 N HCl and extracted with 300 ml of heptane. The organic layer was washed with additional 100 ml of 0.1 N HCl, 200 ml of saturated aqueous  $\text{NaHCO}_3$ , 200 ml of brine, dried over  $\text{MgSO}_4$  and concentrated in vacuo. The crude product (81.5 g) was purified by filtration (670 g of  $\text{SiO}_2$ , toluene) to afford 64.8 g (79%) of a colorless oil.  $R_f=0.64$  ( $\text{SiO}_2$ , 1:1 heptane-TBME).

(S)-6-(tert-Butyl-dimethyl-silyloxy)-5-methyl-hexan-1-ol (IX)

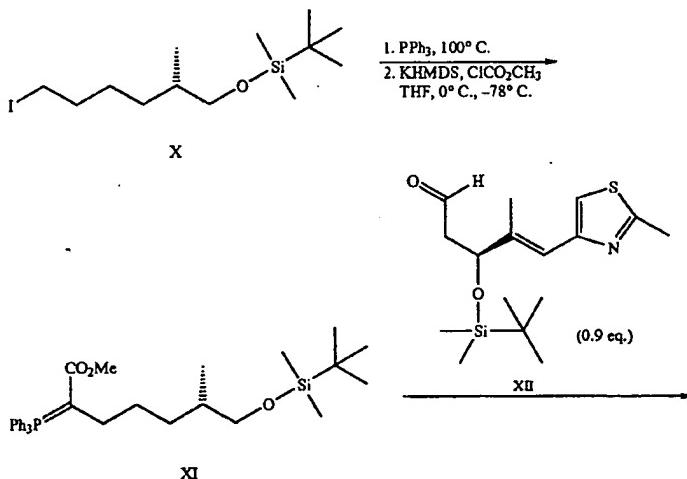
A solution of 64.0 g (0.19 mol) of the benzylether VIII in 500 ml of THF was hydrogenated (3.5 bar) over 7.0 g of 20%  $\text{Pd}(\text{OH})_2/\text{C}$  for 30 min at ambient temperature. The catalyst was removed by filtration and the filtrate was concentrated to afford alcohol IX as colorless oil (46.9 g, 100%).  $R_f=0.33$  ( $\text{SiO}_2$ , 1:1 heptane-TBME).

tert-Butyl-[(S)-6-iodo-2-methyl-hexyloxy]-dimethyl-silane (X)

Triphenylphosphine (23.91 g, 91.16 mmol) and imidazole (12.45 g, 182.9 mmol) were added to a solution of 15 g (60.9 mmol) of alcohol IX in 390 ml of acetonitrile/toluene (1:5) at room temperature. The mixture was cooled to  $0^\circ\text{C}$ . and 23.13 g (91.13 mmol) of iodine was added in 4 portions over a period of 10 min. The heterogenous solution was stirred for 90 min. Aqueous sodium bisulfite (4%, 300 ml) and 100 ml of toluene were added. The aqueous layer was separated and re-extracted with toluene (100 ml). The combined toluene layers were filtered over silica gel and concentrated in vacuo. To the residue was added heptane (225 ml). The resulting suspension was stirred for 10 min. and stored at  $4^\circ\text{C}$ . for 12 h. Filtration and concentration of the filtrate afforded 21.05 g (97%) of the iodide X as a pale yellowish oil.  $R_f=0.66$  ( $\text{SiO}_2$ , 7:3 heptane-AcOEt).

## EXAMPLE 2

## Aldehyde 1 with TBDMS Protecting Groups

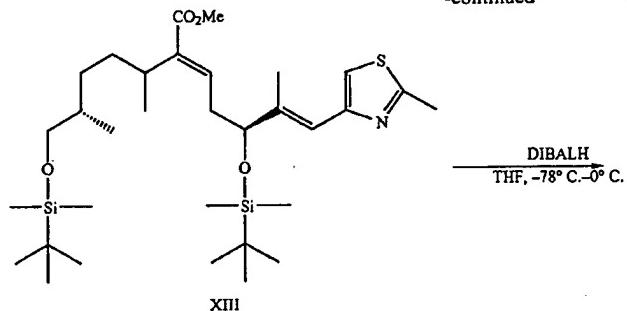


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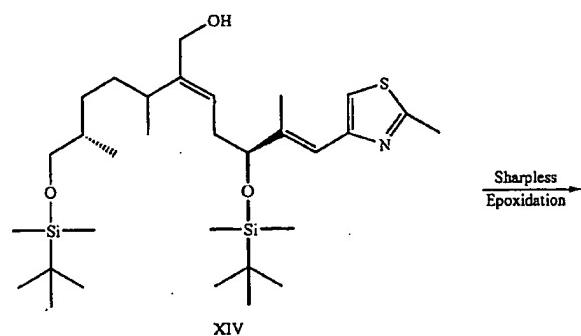
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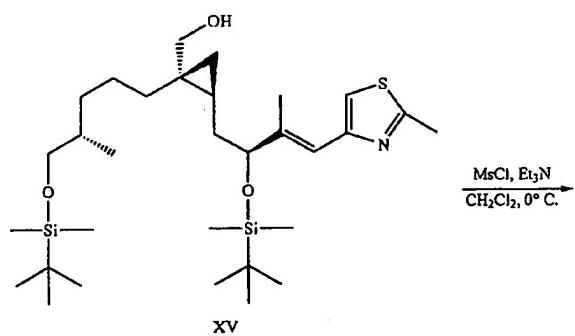
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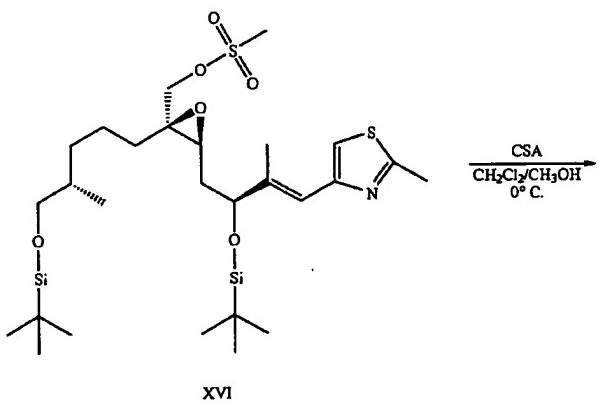
DIBALH  
THF, -78°C.-0°C.



Sharpless  
Epoxidation



MsCl, Et3N  
CH2Cl2, 0°C.



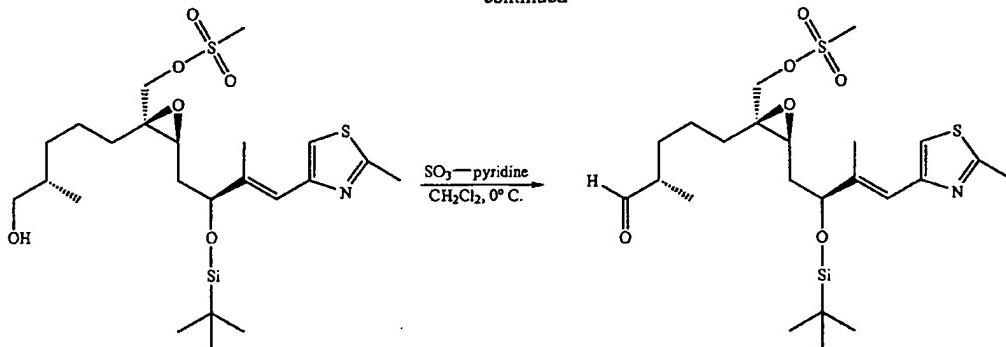
CSA  
CH2Cl2/CH3OH  
0°C.

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-continued



XVII

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(S)-7-(tert-Butyl-dimethyl-silyloxy)-6-methyl-2-(triphenylphosphanylidene)-heptanoic acid methyl ester (XI)

Under argon and at room temperature, iodide X (15.46 g, 43.4 mmol) and triphenylphosphine (12.52 g, 45.75 mmol) were added to toluene (8 ml). The resulting mixture was heated at 100° C. for 3 h. Then, toluene was removed under reduced pressure. THF (400 mL) was added to the residue (29.67 g) and the solution was cooled to 0° C. and subsequently treated with a potassium bis(trimethylsilyl)amide solution in toluene (0.5 M, 174 ml, 86.8 mmol). The resulting orange suspension was stirred for 1 h at 0° C. and then cooled down to -75° C. Methyl chloroformate (3.72 ml, 48.2 mmol) was added. After stirring for 1 h at -75° C. the yellowish reaction mixture was allowed to warm-up to -40° C. NaHCO<sub>3</sub> (300 ml) was added followed by EtOAc (300 ml) and water (150 ml). The layers were separated and the aqueous phase was extracted with EtOAc (150 ml). The combined organic layers were washed with a saturated aqueous solution of NaCl (2×200 ml), dried over MgSO<sub>4</sub> and concentrated in vacuo. The crude product XI (27.02 g) obtained as a viscous orange oil was directly used in the next step.

(2E,6E)-(S)-5-(tert-Butyl-dimethyl-silyloxy)-2-[(S)-5-(tert-butyl-dimethyl-silyloxy)-4-methyl-pentyl]-6-methyl-7-(2-methyl-thiazol-4-yl)-hepta-2,6-dienoic acid methyl ester (XIII)

A solution of ylide (XI) (27 g) in toluene (200 ml) at ambient temperature under argon was treated with solution of aldehyde (XII) (12.7 g, 39.0 mmol) in toluene (80 ml). The resulting mixture was stirred at 70° C. for 5 h. The solvent was removed under reduced pressure. The remaining solid residue (37.8 g) was taken in 370 ml of heptane and stirred successively at 40° C. for 30 min, at room temperature for 2 h and 30 min and finally at 0° C. for 30 min. The resulting suspension was filtered and the filtercake was washed with heptane (2×60 ml). The filtrates were collected and concentrated in vacuo to give 24.85 g of the Wittig product XIII as a yellowish oil which was used for the next step without further purification. R<sub>f</sub>=0.59 (SiO<sub>2</sub>, 1:1 heptane-AcOEt); <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 300 MHz, 300 K) □ 6.90 (s, 1H, C<sub>5'</sub>-H), 6.77 (t, J=7.5 Hz, 1H, C<sub>3'</sub>-H), 6.47 (s, 1H, C<sub>7'</sub>-H), 4.20 (dd, J=7.2, 5.4 Hz, 1H, C<sub>5'</sub>-H), 3.69 (s, 3H, CO<sub>2</sub>CH<sub>3</sub>), 3.40 (dd, J=9.9, 5.7 Hz, 1H, C<sub>5'</sub>-H<sub>a</sub>), 3.31 (dd, J=9.9, 6.6 Hz, 1H, C<sub>5'</sub>-H<sub>b</sub>), 2.68 (s, 3H, C<sub>2'</sub>-CH<sub>3</sub>), 2.44-2.36 and 2.28-2.21 (two m, 4H, C<sub>4'</sub>-H<sub>2</sub> and

C<sub>1'</sub>-H<sub>2</sub>), 2.00 (s, 3H, C<sub>6'</sub>-CH<sub>3</sub>), 1.30-1.15 (two m, 4H, C<sub>2'</sub>-H<sub>2</sub>, C<sub>3'</sub>-H<sub>a</sub> and C<sub>4'</sub>-H), 1.06-0.99 (m, 1H, C<sub>3'</sub>-H<sub>b</sub>), 0.86 (s, 9 H, SiC(CH<sub>3</sub>)<sub>3</sub>), 0.85 (s, 9 H, SiC(CH<sub>3</sub>)<sub>3</sub>), 0.83 (d, J=6.6, 3H, C<sub>5'</sub>-CH<sub>3</sub>), 0.05 (s, 3H, SiCH<sub>3</sub>), 0.01 (s, 6 H, two SiCH<sub>3</sub>), -0.01 (s, 3 H, SiCH<sub>3</sub>).

(2E,6E)-(S)-5-(tert-Butyl-dimethyl-silyloxy)-2-[(S)-5-(tert-butyl-dimethyl-silyloxy)-4-methyl-pentyl]-6-methyl-7-(2-methyl-thiazol-4-yl)-hepta-2,6-dien-1-ol (XIV)

To 13.9 g (23 mmol) of allylic ester XIII in 500 ml of THF at -78° C. was added over 10 min 47 ml (70 mmol) of DIBALH (1.5 M in toluene). The reaction mixture was successively stirred at -78° C. for 3 h, allowed to warm to 0° C. within 30 min. and further stirred at 0° C. for 30 min. before being quenched by the addition of 50 ml of aqueous 0.1 N HCl. The layers were separated and the aqueous layer was washed with TBME (2×50 ml). The combined organic extracts were washed with aqueous saturated NaHCO<sub>3</sub> (2×50 ml) and brine (50 ml), dried over MgSO<sub>4</sub> and concentrated in vacuo. The crude product was purified by flash-chromatography (SiO<sub>2</sub>, 5:1 heptane/EtOAc) to afford 10.0 g (76%) of allylic alcohol XIV as a pale yellowish oil. R<sub>f</sub>=0.16 (SiO<sub>2</sub>, 3:1 heptane-AcOEt).

{(2S,3S)-3-[(E)-(S)-2-(tert-Butyl-dimethyl-silyloxy)-3-methyl-4-(2-methyl-thiazol-4-yl)-but-3-enyl]-2-[(S)-5-(tert-butyl-dimethyl-silyloxy)-4-methyl-pentyl]-oxiranyl}-methanol (XV)

The allylic alcohol (XIV) (3.00 g, 5.28 mmol) was dissolved in 51 ml of CH<sub>2</sub>Cl<sub>2</sub>. A 0.59 M solution of (+)-diethyl-L-tartrate (4.48 ml, 2.64 mmol) in CH<sub>2</sub>Cl<sub>2</sub> was added at -30° C. followed by a 0.34 M solution of titanium(IV) isopropoxide (6.21 ml, 2.11 mmol) in CH<sub>2</sub>Cl<sub>2</sub>. The mixture was stirred for 30 min at -30° C. Then 2.11 ml (16.6 mmol) of tert-butyl-hydroperoxide (5.5 M in decane) was added over 5 min. The reaction mixture was stirred at -30° C. for 24 h. Aqueous NaHSO<sub>3</sub> (4%, 50 ml) was then added. The layers were separated and the aqueous layer was extracted with ethyl acetate (2×50 ml). The combined organic extracts were washed with 50 ml of brine and dried over MgSO<sub>4</sub>. After concentration in vacuo, 3.11 g of crude epoxy alcohol XV was obtained as a pale yellowish oil which was used for the

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next step without further purification  $R_f=0.22$  ( $\text{SiO}_2$ , 2:1 heptane-AcOEt); MS (ES+) m/z (%) 606 (100,  $[\text{M}+\text{Na}]^+$ ), 584 (13,  $[\text{M}+\text{H}]^+$ ).

Methanesulfonic acid (2S,3S)-3-[*(E*)-(S)-2-(tert-butyl-dimethyl-silyloxy)-3-methyl-4-(2-methyl-thiazol-4-yl)-but-3-enyl]-2-[*(S*)-5-(tert-butyl-dimethyl-silyloxy)-4-methyl-pentyl]-oxiranylmethyl ester (XVI)

To a solution of crude epoxyalcohol (XV) (3.11 g, 5.3 mmol) in  $\text{CH}_2\text{Cl}_2$  (40 mL) at  $0^\circ\text{C}$ ., were added 2.74 mL (16.0 mmol) of N-ethyldiisopropylamine. The resulting mixture was stirred 15 min. at  $0^\circ\text{C}$ . Thereupon, methanesulfonyl chloride was added dropwise (0.75 mL, 8.0 mmol). After stirring for 1 h at  $0^\circ\text{C}$ ., the reaction solution was quenched with 40 mL of  $\text{H}_2\text{O}$  and 40 mL of TBME. The layers were separated and the aqueous layer was extracted with TBME (40 mL). The organic extracts were washed with HCl (0.1N) (40 mL), saturated aqueous  $\text{NaHCO}_3$  (2×40 mL) and brine (40 mL) and dried over  $\text{MgSO}_4$ . Concentration in vacuo provided 3.79 g of crude mesylate XVI which was used for the next step without further purification. Purification:  $R_f=0.27$  ( $\text{SiO}_2$ , 2:1 heptane-AcOEt); HRMS m/z 684.3222 ( $[\text{M}+\text{Na}]^+$ ,  $\text{C}_{31}\text{H}_{59}\text{NO}_6\text{S}_2\text{Si}_2$  requires 684.3220).

Methanesulfonic acid (2S,3S)-3-[*(E*)(S)-2-(tert-butyl-dimethyl-silyloxy)-3-methyl-4-(2-methyl-thiazol-4-yl)-but-3-enyl]-2-[*(S*)-5-hydroxy-4-methyl-pentyl]-oxiranylmethyl ester (XVII)

The crude TBDMS ether XVI (3.79 g, 5.7 mmol) was dissolved in a mixture of  $\text{CH}_2\text{Cl}_2$  and  $\text{CH}_3\text{OH}$  (1:1 v/v) (140 mL) and treated at  $0^\circ\text{C}$ . with 1.33 g (5.73 mmol) of 10-camphorsulfonic acid. The reaction mixture was stirred for 1 h at  $0^\circ\text{C}$ . After completion of the deprotection 20 mL of saturated aqueous  $\text{NaHCO}_3$  were added along with 20 mL of TBME. The layers were separated and the aqueous layer was extracted with TBME (2×20 mL). The combined organic layers were washed with saturated aqueous  $\text{NaHCO}_3$  (2×20 mL), brine (20 mL), dried over  $\text{MgSO}_4$  and concentrated under reduced pressure. The crude product XVII (3.11 g) obtained as a yellowish oil was used for the next step without further purification.  $R_f=0.28$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt).

Methanesulfonic acid (2S,3S)-3-[*(E*)-(S)-2-(tert-butyl-dimethyl-silyloxy)-3-methyl-4-(2-methyl-thiazol-4-yl)-but-3-enyl]-2-[*(S*)-4-methyl-5-oxo-pentyl]-oxiranylmethyl ester (1)

A solution of the alcohol XVII (3.11 g, 5.7 mmol) in  $\text{CH}_2\text{Cl}_2$  (15 mL) at  $0^\circ\text{C}$ . was treated sequentially with triethylamine (10 mL), DMSO (6 mL) and a solution of  $\text{SO}_3\text{-pyridine}$  (3.61 g, 22.7 mmol) in DMSO (40 mL) which was added over 5 min. After stirring for 1 h at  $0^\circ\text{C}$ ., the reaction mixture was quenched with aqueous  $\text{NaHSO}_4$  (10%, 20 mL) and diluted with TBME (20 mL). The layers were separated and the aqueous layer was extracted with TBME (2×20 mL). The combined organic layers were washed with saturated aqueous  $\text{NaHCO}_3$  (2×20 mL), brine (20 mL), dried over  $\text{MgSO}_4$  and concentrated under reduced pressure. The residue was purified by flash chromatography ( $\text{SiO}_2$ , 3:1 heptane/EtOAc).

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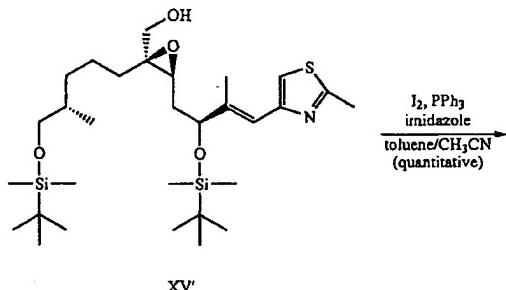
The aldehyde 1 was obtained as a pale yellowish oil (2.20 g, 76% yield over four steps based on XIV).  $R_f=0.39$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt).

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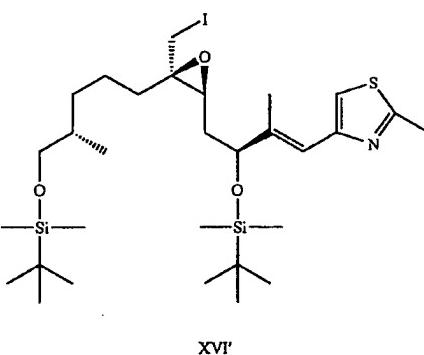
## EXAMPLE 3

## Aldehyde XVI' with TBDMS Protecting Groups

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4-[*(E*)-(S)-3-(tert-Butyl-dimethyl-silyloxy)-4-{(2S,3R)-3-[*(S*)-5-(tert-butyl-dimethyl-silyloxy)-4-methyl-pentyl]-3-iodomethyl-oxiranyl}-2-methyl-but-1-enyl]-2-methyl-thiazole (XVI')

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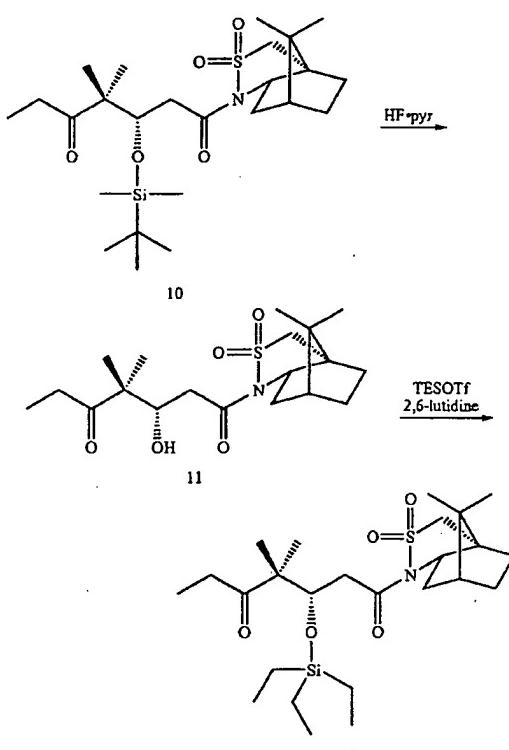
A solution of epoxy-alcohol XV (50 mg, 86  $\mu\text{mol}$ ), triphenylphosphine (34 mg, 0.13 mmol), (50 mg, 86 (34 mg, 0.13 mmol) and imidazole (18 mg, 0.26 mmol) in 5:1 v/v toluene/acetone (2.4 mL) at room temperature under argon was treated with iodine (33 mg, 0.13 mmol) in one portion. After 30 min stirring, the reaction was judged complete (TLC) and was worked-up. The reaction mixture was poured onto aqueous saturated  $\text{NaHSO}_4$  (5 mL). The layers were separated and the aqueous layer was extracted with toluene (2×2 mL). The combined organic layers were washed with 1N HCl (5 mL), aqueous saturated  $\text{NaHCO}_3$  (5 mL) and brine (5 mL), dried over  $\text{MgSO}_4$  and concentrated in vacuo. The residue was suspended in heptane and the insoluble triphenylphosphine oxide was removed by filtration. The crude iodide XVI' was obtained as a pale yellowish oil (65 mg) which did not require further purification.

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## EXAMPLE 4

## Compound 2



1-(10,10-Dimethyl-3,3-dioxo-6-thia-4-aza-tricyclo [5.2.1.0]dec-4-yl)-3-hydroxy-4,4-dimethyl-heptane-1,5-dione (11)

Under argon and in a teflon flask, The TBDMS ether (10) (9.21 g, 18.43 mmol) was mixed with HF:pyridine complex

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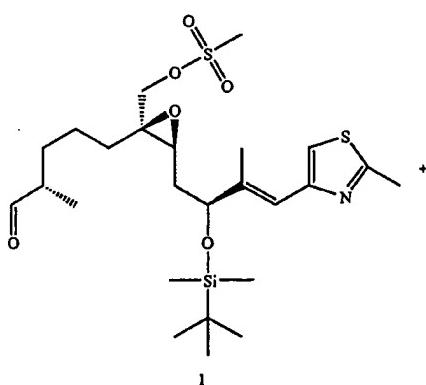
(26.3 ml, 921 mmol) at ambient temperature. The resulting reaction mixture was stirred for 2 h and then quenched by adding it to a stirred aqueous solution of NaHCO<sub>3</sub> (78 g in 500 ml of water) along with 200 ml of TBME. The layers were separated and the organic layer was washed with brine (2×200 ml), dried over MgSO<sub>4</sub> and concentrated in vacuo to give 6.80 g of crude product which did not require any further purification.

**10** 1-(10,10-Dimethyl-3,3-dioxo-6-thia-4-aza-tricyclo [5.2.1.0]dec-4-yl)-4,4-dimethyl-3-triethylsilyloxy-heptane-1,5-dione (2)

**15** To a solution of crude alcohol (11) (6.80 g, 17.6 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (90 ml) at 0° C., 2,6-lutidine (6.14 ml, 52.9 mmol) was added followed by triethylsilyl trifluoromethanesulfonate (7.98 ml, 35.3 mmol). The resulting solution was stirred at 0° C. for 30 min. The reaction was carefully quenched by adding 140 ml of 1N HCl along with 150 ml of TBME. The layers were separated and the organic layer was washed successively with 100 ml of saturated aqueous NaHCO<sub>3</sub> and 100 ml of brine, dried over MgSO<sub>4</sub> and concentrated in vacuo. Purification of the crude product by chromatography (SiO<sub>2</sub>, 4:6 hexanes/AcOEt), afforded 8.3 g of pure TES-ether 2. (90% over two steps). R<sub>f</sub>=0.62 (SiO<sub>2</sub>, 1:1 heptane-AcOEt); <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 400 MHz, 300 K) δ 4.55 (t, J=5.2 Hz, 1H, C<sub>3</sub>-H), 3.86 (d, J=14.3 Hz, 1H, C<sub>8</sub>-H<sub>2</sub>), 3.83 (t, J=6.1 Hz, 1H, C<sub>1</sub>-H), 3.66 (d, J=14.2 Hz, 1H, C<sub>8</sub>-H<sub>2</sub>), 2.84 (dd, J=17.4, 4.6 Hz, 1H, C<sub>2</sub>-H<sub>2</sub>), 2.54 (m, 3H, C<sub>2</sub>-H<sub>2</sub> and C<sub>7</sub>-H<sub>2</sub>, partially obscured by DMSO), 2.00-1.92 and 1.87-1.73 (2 m, 6H, C<sub>2</sub>-H<sub>2</sub>, C<sub>3</sub>-H and C<sub>5</sub>-H<sub>2</sub>), 1.48-1.20 and 1.34-1.24 (2 m, 2H, C<sub>4</sub>-H<sub>2</sub>), 1.10 (s, 3H, C<sub>4</sub>-CH<sub>3</sub>), 1.07 (s, 3H, C<sub>4</sub>-CH<sub>3</sub>), 0.98 (s, 3H, C<sub>9</sub>-CH<sub>3</sub>), 0.95 (s, 3H, C<sub>9</sub>-CH<sub>3</sub>), 0.94-0.87 (m, 12H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub> and C<sub>7</sub>-H<sub>5</sub>), 0.60-0.45 (m, 6H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>); <sup>13</sup>C-NMR (DMSO-d<sub>6</sub>, 100 MHz, 300 K) δ 215.3, 169.7, 73.1, 65.1, 52.9, 52.7, 49.2, 48.1, 45.1, 40, 38.8, 32.8, 32.1, 26.7, 21.5, 20.9, 21.0, 20.4, 8.5, 7.6, 7.5, 5.4; IR (KBr) ν<sub>max</sub> 2958s, 2913m, 2878s, 1702s, 1391m, 1333s, 1312m, 1267m, 1237m, 1219m, 1166m, 1136s, 1087s, 743m, 539m cm<sup>-1</sup>; MS (ES+) m/z (%) 769 (3, [3M+Ca]<sup>2+</sup>), 538 (6, [M+K]<sup>+</sup>), 522 (100, [M+Na]<sup>+</sup>), 519 (17, [2M+Ca]<sup>2+</sup>), 500 (5, [M+H]<sup>+</sup>).

## EXAMPLE 5

## Compound 6 with TBDMS Protecting Groups

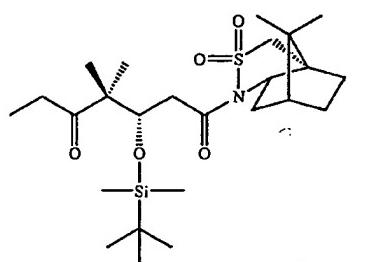


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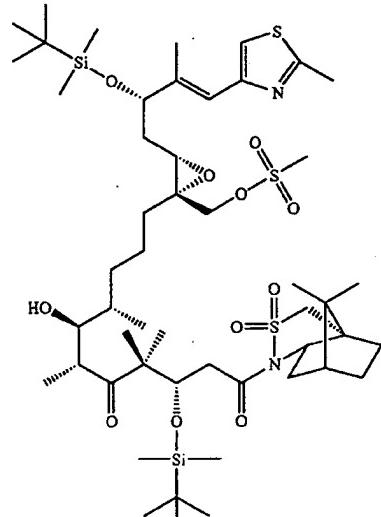
28

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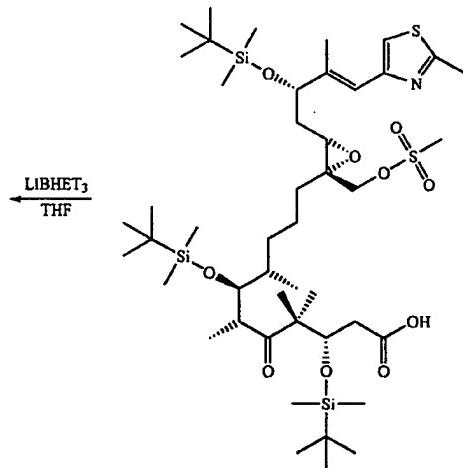
2

$\xrightarrow[\text{CH}_2\text{Cl}_2, -78^\circ\text{ C}]{\text{TiCl}_4, \text{Hunig Base}}$



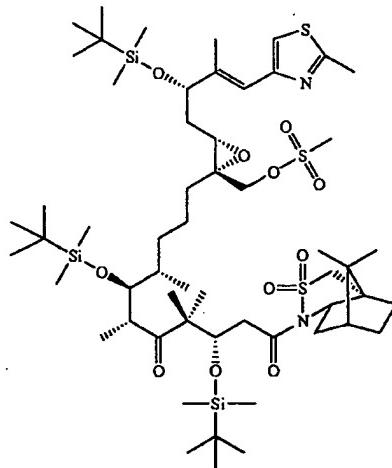
3

$\xrightarrow[\text{2,6-Lutidine}]{\text{TBSOTf}}$



5

$\xleftarrow[\text{DME}]{\text{TBACH, H}_2\text{O}_2}$



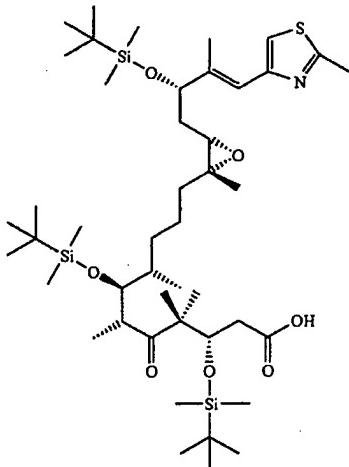
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**Aldol Product (3)**

A solution of 2 (750 mg, 1.50 mmol) in  $\text{CH}_2\text{Cl}_2$  (5.6 ml) at -78° C. under an atmosphere of argon was treated sequentially with a 0.5 M solution of  $\text{TiCl}_4$  in  $\text{CH}_2\text{Cl}_2$  (3.00 ml, 1.50 mmol) and N-ethyldiisopropylamine (257  $\mu\text{l}$ , 1.50 mmol), whereas the solution developed immediately an intense dark red color. After stirring at -78° C. for 10 min, a solution of 1 (900 mg, 1.65 mmol) in  $\text{CH}_2\text{Cl}_2$  (1.9 ml) was added dropwise. Thereupon, the reaction solution was stirred at -78° C. for 1 h then warmed-up at 0° C. and stirred for an additional 15 min at which time the reaction was judged complete (TLC). The reaction was quenched at 0° C. by addition of phosphate buffer (pH 7, 4 ml) and dilution with TBME (5 ml). The aqueous layer was extracted with TBME (2 $\times$ 5 mL). The combined organic extracts were washed with aqueous saturated  $\text{NaHCO}_3$  (2 $\times$ 4 mL) and brine (4 mL), dried with  $\text{MgSO}_4$  and concentrated in vacuo. The residue was purified by flash-chromatography (50 g of  $\text{SiO}_2$ , heptane/AcOEt 2:1) to provide the aldol product 3 (1200 mg, 70% based on 1) as a pale yellowish oil.  $R_f=0.42$  ( $\text{SiO}_2$ , 1:2 heptane-AcOEt); MS (ES+) m/z (%) 1197 (12, [M+K] $^+$ ), 1081 (100, [M+Na] $^+$ ), 1059 (31, [M+H] $^+$ ), 599 (75, [M+Ca] $^{2+}$ ).

**TBDMS Ether (4)**

A solution of 3 (1.20 g, 1.15 mmol) in  $\text{CH}_2\text{Cl}_2$  (15 ml) at 0° C. under an atmosphere of argon was treated sequentially with 2,6-lutidine (0.87 ml, 7.49 mmol) and tert-butyldimethylsilyl trifluoromethanesulfonate (1.32 ml, 5.74 mmol). After stirring at 0° C. for 14 h, the reaction was worked-up by addition of 0.1 N aqueous HCl (5 mL) along with  $\text{CH}_2\text{Cl}_2$  (5 mL). The layers were separated and the aqueous layer was extracted with  $\text{CH}_2\text{Cl}_2$  (2 $\times$ 5 mL). The combined organic extracts were washed successively with aqueous saturated  $\text{NaHCO}_3$  (2 $\times$ 5 mL) and brine (5 mL), dried with  $\text{MgSO}_4$  and concentrated in vacuo. The residue was purified by flash-chromatography (50 g of  $\text{SiO}_2$ , heptane/AcOEt 2:1) to provide the compound 16 (893 mg, 67%) as a pale yellowish oil.

$R_f=0.70$  ( $\text{SiO}_2$ , 1:2 heptane-AcOEt); MS (ES+) m/z (%) 1197 (12, [M+K] $^+$ ), 1081 (100, [M+Na] $^+$ ), 1059 (31, [M+H] $^+$ ), 599 (75, [M+Ca] $^{2+}$ ).

**Carboxylic Acid (5)**

A solution of 4 (318 mg, 0.274 mmol) in 1,2-dimethoxyethane (4.8 ml) at 0° C. was treated sequentially with TBAOH (730  $\mu\text{l}$ , 2.76 mmol) and  $\text{H}_2\text{O}_2$  30% (280  $\mu\text{l}$ , 2.74 mmol) and the resultant mixture was stirred at 0° C. for 5 h before being worked-up: An aqueous saturated solution of  $\text{NH}_4\text{Cl}$  (2 ml) was added along with TBME (2 ml). The layers were separated and the aqueous layer was extracted with TBME (2 $\times$ 2 mL). The organic extracts were washed successively with aqueous saturated  $\text{NaHCO}_3$  (2 $\times$ 2 mL) and brine (2 mL), combined, dried with  $\text{MgSO}_4$  and concentrated in vacuo. The residue was purified by flash-chromatography (15 g of  $\text{SiO}_2$ , heptane/AcOEt 1:1 containing 1% of AcOH) to provide the compound 5 (103 mg, 39%) as a pale yellowish oil.  $R_f=0.41$  ( $\text{SiO}_2$ , 3:3:4  $\text{CH}_2\text{Cl}_2$ - $\text{CH}_3\text{CN}$ -hexanes); MS (ES+) m/z (%) 984 (100, [M+Na] $^+$ ), 962 (12, [M+H] $^+$ ).

**Methyl Epoxide (6)**

A solution of 5 (78 mg, 0.081 mmol) in THF (1.6 ml) at ambient temperature was treated dropwise with a 1 M solution of  $\text{LiBHET}_3$  in THF (970  $\mu\text{l}$ , 0.97 mmol) and the resultant mixture was stirred at ambient temperature for 1 h. The reaction was quenched by addition of aqueous saturated  $\text{NH}_4\text{Cl}$  (2 ml) along with TBME (2 ml). The layers were separated and the aqueous layer was extracted with TBME (2 $\times$ 2 mL). The combined organic extracts were washed successively with aqueous saturated  $\text{NaHCO}_3$  (2 $\times$ 2 mL) and brine (2 mL), dried with  $\text{MgSO}_4$  and concentrated in vacuo. The residue was purified by flash-chromatography (6 g of  $\text{SiO}_2$ , heptane/AcOEt 1:1 containing 1% of AcOH) to provide the compound 6 (60 mg, 85%) as a pale yellowish oil.  $R_f=0.56$  ( $\text{SiO}_2$  1:1 heptane-AcOEt with 1% of AcOH); MS (ES+) m/z (%) 890 (100, [M+Na] $^+$ ), 868 (50, [M+H] $^+$ ).

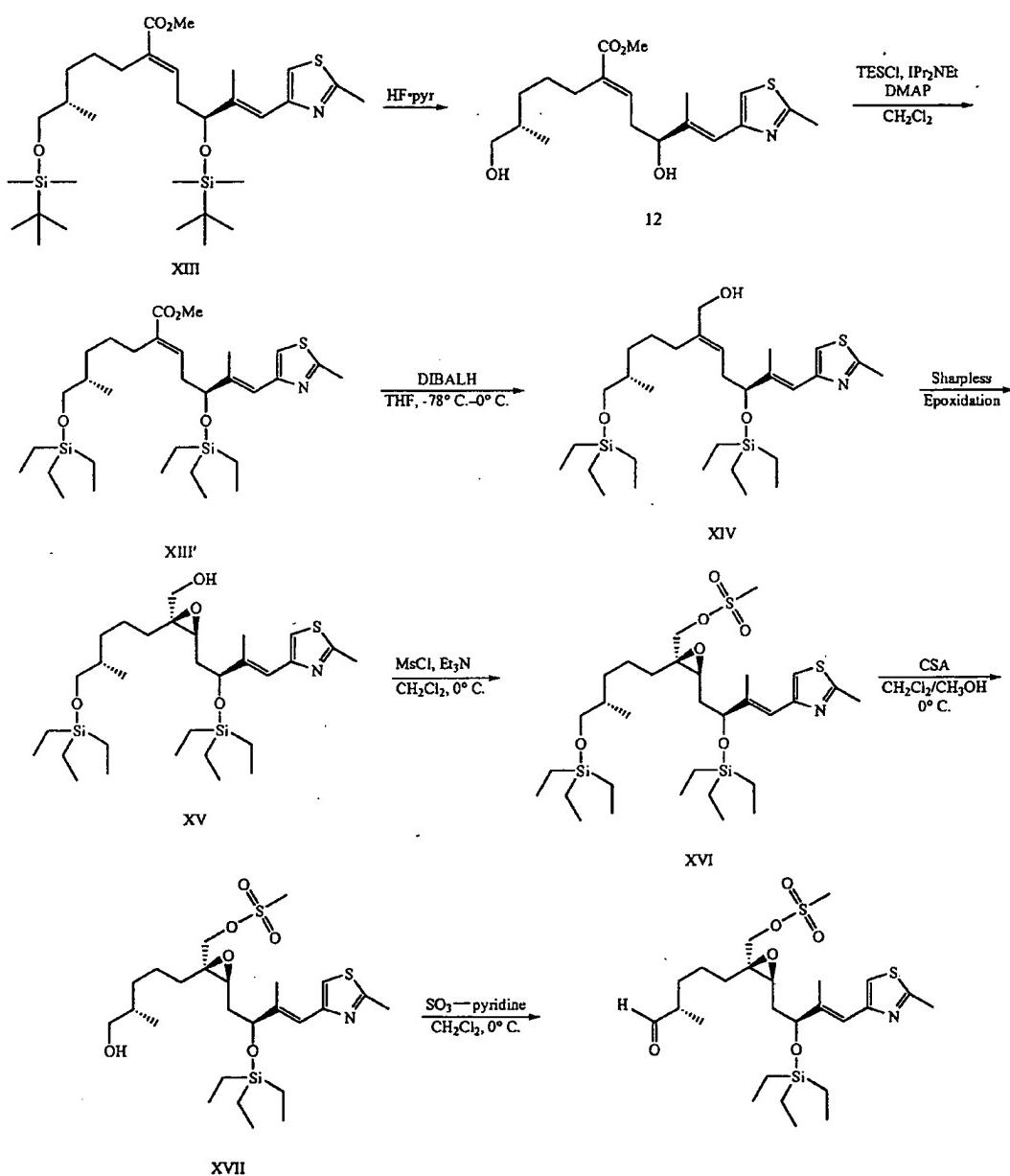
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**31****EXAMPLE 6**

Aldehyde 1 with One TES and One TBDMS Protecting Group

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was added. The resulting mixture was stirred for 10 min at -10° C. at which time the reaction was judged complete by disappearance of the starting material (TLC) and immedi-



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(2E,6E)-(S)-5-Hydroxy-2-[{(S)-5'-hydroxy-4'-methylpentyl}-6-methyl-7-(2"-methyl-thiazol-4"-yl)-hepta-2,6-dienoic acid methyl ester (12)

In a teflon flask containing 24 ml of HF-pyridine complex (839 mol) at -10° C. the allyl ester (XIII) (10.0 g, 16.8 mmol)

65 ately quenched. The mixture was poured onto a stirred suspension of NaHCO<sub>3</sub> (70 g) in water (100 ml) along with TBME (100 ml). The layers were separated and the aqueous phase was extracted with TBME (3×80 ml). The organic extracts were combined and were washed with 150 ml of 0.1 N HCl and dried over MgSO<sub>4</sub>. Concentration in vacuo provided

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the crude diol (2) (6.34 g) which was directly subjected to the next reaction.  $R_f=0.06$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt);  $^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ , 300 MHz, 300 K)  $\delta$  6.90 (s, 1H,  $\text{C}_5-$ H), 6.75 (t, 1H,  $J=7.5$  Hz,  $\text{C}_3-$ H), 6.51 (s, 1H,  $\text{C}_7-$ H), 4.23 (t,  $J=6.3$  Hz, 1H,  $\text{C}_3-$ H), 3.65 (s, 3H,  $\text{CO}_2\text{CH}_3$ ), 3.41 (dd,  $J=10.5, 6.0$  Hz, 1H,  $\text{C}_5-$ H), 3.34 (dd,  $J=10.5, 6.3$  Hz, 1H,  $\text{C}_5-$ H<sub>a</sub>), 2.64 (s, 3H,  $\text{C}_2-$ -CH<sub>3</sub>), 2.46 (t,  $J=6.9$  Hz, 2H,  $\text{C}_4-$ H<sub>a</sub>), 2.25 (t,  $J=7.2$  Hz, 2H,  $\text{C}_1-$ H<sub>a</sub>), 2.00 (s, 3H,  $\text{C}_6-$ CH<sub>3</sub>), 1.70-1.55 and 1.53-1.25 (2 br m, 6 H,  $\text{C}_2-$ -H<sub>a</sub>,  $\text{C}_3-$ -H<sub>a</sub>,  $\text{C}_4-$ -H,  $\text{C}_5-$ OH and  $\text{C}_5-$ OH), 1.15-1.00 (m, 1H,  $\text{C}_3-$ -H<sub>b</sub>), 0.85 (d,  $J=6.6$  Hz, 3H,  $\text{C}_4-$ -CH<sub>3</sub>).

(2E,6E)-(S)-6-Methyl-7-(2'-methyl-thiazol-4'-yl)-2-[(S)-4"-methyl-5"-triethylsilanyloxy-pentyl]-5-triethylsilanyloxy-hepta-2,6-dienoic acid methyl ester (XIII')

A solution of crude diol (12) (6.33 g, 14 mmol) in  $\text{CH}_2\text{Cl}_2$  (150 ml) at 0°C, was treated sequentially with N-ethyldiisopropylamine (23.6 ml, 138 mmol), 4-(N,N-dimethylamino)-pyridine (70 mg, 0.57 mmol) and triethylchlorosilane (10.9 ml, 86.1 mmol). The resulting solution was stirred at ambient temperature overnight. The reaction was quenched with a saturated aqueous solution of  $\text{NaHCO}_3$  (150 ml) and the aqueous layer was extracted with  $\text{CH}_2\text{Cl}_2$  (3x200 ml). The organic extracts were combined, washed with 200 ml of brine, dried over  $\text{MgSO}_4$  and concentrated in vacuo. Purification of the residue by chromatography ( $\text{SiO}_2$ , 20-30% hexanes/AcOEt gradient elution) afforded 8.6 g (86% over two steps) of TES protected diol (XIII').  $R_f=0.63$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt);  $^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ , 400 MHz, 300 K)  $\delta$  7.26 (s, 6,  $\text{C}_5-$ H), 6.73 (t,  $J=7.3$  Hz, 1H,  $\text{C}_3-$ H), 6.48 (s, 1H,  $\text{C}_7-$ H), 4.30 (t,  $J=6.7$  Hz, 1H,  $\text{C}_5-$ H), 3.65 (s, 3H,  $\text{CO}_2\text{CH}_3$ ), 3.41 (dd,  $J=9.8, 5.9$  Hz, 1H,  $\text{C}_5-$ H<sub>a</sub>), 3.36 (dd,  $J=9.8, 6.3$  Hz, 1H,  $\text{C}_5-$ H<sub>b</sub>), 2.66 (s, 3H,  $\text{C}_2-$ -CH<sub>3</sub>), 2.45 (t,  $J=6.7$  Hz, 2H,  $\text{C}_4-$ H<sub>a</sub>), 2.30-2.20 (br m, 2H,  $\text{C}_1-$ -H<sub>a</sub>), 2.04 (s, 3H,  $\text{C}_6-$ CH<sub>3</sub>), 1.59-1.47 (br m, 1H,  $\text{C}_4-$ -H), 1.45-1.23 (br m, 3H,  $\text{C}_2-$ -H<sub>a</sub> and  $\text{C}_3-$ -H<sub>a</sub>), 1.01-1.00 (br m, 1H,  $\text{C}_3-$ -H<sub>b</sub>), 0.98-0.88 (m, 18H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>), 0.83 (d,  $J=6.9$  Hz, 3H,  $\text{C}_4-$ -CH<sub>3</sub>), 0.62-0.52 (m, 12H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>); IR (film)  $\nu_{max}$  2953s, 2934s, 2913s, 2875s, 1713s, 1644w, 1505w, 1459m, 1437m, 1414w, 1376w, 1285m, 1269m, 1241m, 1185m, 1120m, 1072m, 1006m, 744m, 723s  $\text{cm}^{-1}$ ; MS (ES+) m/z (%) 618 (100, [M+Na]<sup>+</sup>), 596 (72, [M+H]<sup>+</sup>).

(2E,6E)-(S)-6-Methyl-7-(2'-methyl-thiazol-4'-yl)-2-[(S)-4"-methyl-5"-triethylsilanyloxy-pentyl]-5-triethylsilanyloxy-hepta-2,6-dien-1-ol (XIV)

To a solution of allyl ester (XIII') (45.54 g, 76.4 mmol) in THF (250 ml), was added DIBAL-H 1.5 M in toluene (153 ml, 229 mmol) at -78°C. The resulting mixture was stirred for 3 h at -78°C. The reaction was quenched by slow addition of 115 ml of 2N HCl and diluted with 100 ml of TBME. The layers were separated and the aqueous phase was extracted with TBME (2x100 ml). The combined organic layers were washed with 100 ml of  $\text{NaHCO}_3$ , followed by 100 ml of brine and dried over  $\text{MgSO}_4$ . Concentration in vacuo provided 51.53 g of crude allyl alcohol which was chromatographed ( $\text{SiO}_2$ , 20-40% hexanes/AcOEt gradient elution) to give 32.5 g (75%) of pure allyl alcohol (XIV).  $R_f=0.47$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt);  $^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ , 500 MHz, 300 K)  $\delta$  7.29 (s, 1H,  $\text{C}_5-$ H), 6.38 (s, 1H,  $\text{C}_7-$ H), 5.29 (t,  $J=7.1$  Hz, 1H,  $\text{C}_3-$ H), 4.60 (t,  $J=5.4$  Hz, 1H,  $\text{C}_1-$ -OH), 4.10 (t,  $J=6.4$  Hz, 1H,  $\text{C}_5-$ H), 3.79 (d,  $J=5.1$  Hz, 2H,  $\text{C}_1-$ -H<sub>a</sub>), 3.40 (dd,  $J=9.8, 5.9$  Hz, 1H,  $\text{C}_5-$ H<sub>a</sub>), 3.33 (dd,  $J=9.8, 6.5$  Hz, 1H,

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$\text{C}_5-$ -H<sub>b</sub>), 2.64 (s, 3H,  $\text{C}_2-$ -CH<sub>3</sub>), 2.25 (t,  $J=6.8$  Hz, 2H,  $\text{C}_4-$ -H<sub>a</sub>), 1.99 (s, 3H,  $\text{C}_6-$ -CH<sub>3</sub>), 2.00-1.90 (br m, 2H,  $\text{C}_1-$ -H<sub>a</sub>), 1.58-1.46 (m, 1H,  $\text{C}_4-$ -H<sub>b</sub>), 1.43-1.22 (m, 3H,  $\text{C}_3-$ -H<sub>a</sub> and  $\text{C}_2-$ -H<sub>b</sub>), 1.08-0.97 (m, 1H,  $\text{C}_3-$ -H<sub>b</sub>), 0.95-0.85 (m, 18H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>), 0.82 (d,  $J=6.7$  Hz, 3H,  $\text{C}_4-$ -CH<sub>3</sub>), 0.58-0.50 (m, 12H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>);  $^{13}\text{C-NMR}$  ( $\text{DMSO-d}_6$ , 125 MHz, 300 K)  $\Delta$  164.1, 152.5, 141.1, 140.7, 119.9, 118.4, 116.6, 78.0, 67.3, 64.6, 35.2, 34.4, 32.9, 28.0, 25.4, 18.9, 16.6, 13.7, 6.74, 6.72, 4.3, 4.0; IR (film)  $\nu_{max}$  3341s (br), 2952s, 2932s, 2874s, 1508m, 1458m, 1414m, 1378w, 1238w, 1190m, 1071s, 1016s, 883w, 829w, 743m, 728m  $\text{cm}^{-1}$ ; MS (ES+) m/z (%) 590 (28, [M+Na]<sup>+</sup>), 568 (100, [M+H]<sup>+</sup>).

{(2S,3S)-3-[(E)-(S)-3'-Methyl-4'-(2"-methyl-thiazol-4"-yl)-2-triethylsilanyloxy-but-3-enyl]-2-[(S)-4"-methyl-5"-triethylsilanyloxy-pentyl]-oxiranyl}-methanol (XV)

In a dried flask and under argon, 5.00 g (8.80 mmol) of allyl alcohol (4) was dissolved in 100 ml of  $\text{CH}_2\text{Cl}_2$ . Molecular sieve 4 Å (4 g) was added and the temperature of the mixture was set to -30°C. (+)-Diethyl-L-tartrate (7.46 ml, 4.40 mmol) followed by titanium(IV)isopropoxide (10.35 ml, 3.52 mmol) were added. The resulting mixture was stirred 1 h at -30°C whereas the solution gradually developed a yellow-green color. Then, 3.52 ml of tert-butyl-hydroperoxide (19.36 mmol) were added. The reaction was left overnight at -25°C and was quenched with 100 ml of  $\text{NaHSO}_3$  and well stirred. The filtrate was extracted with TBME (2x80 ml). The combined organic layers were washed with 80 ml of brine, dried over  $\text{MgSO}_4$  and concentrated in vacuo to provided 7.24 g of crude product. After purification by chromatography (1:1 hexanes/AcOEt), 5.2 g (100%) of epoxyalcohol (XV) were obtained.  $R_f=0.38$  ( $\text{SiO}_2$ , 1:1 heptane-AcOEt);  $^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ , 400 MHz, 300 K)  $\delta$  7.28 (s, 1H,  $\text{C}_5-$ H), 6.44 (s, 1H,  $\text{C}_4-$ H), 4.30 (dd,  $J=8.2, 4.1$  Hz, 1H,  $\text{C}_2-$ -H), 3.46 (d,  $J=12.2$  Hz, 1H,  $\text{C}_1-$ -H<sub>a</sub>), 3.39 (dd,  $J=10.2, 6.0$  Hz, 1H,  $\text{C}_5-$ -H<sub>a</sub>), 3.34 (dd,  $J=10.2, 6.2$  Hz, 1H,  $\text{C}_5-$ -H<sub>b</sub>), 3.27 (d,  $J=12.2$  Hz, 1H,  $\text{C}_1-$ -H<sub>b</sub>), 2.90 (dd,  $J=7.3, 4.6$  Hz, 1H,  $\text{C}_3-$ -H), 2.63 (s, 3H,  $\text{C}_2-$ -CH<sub>3</sub>), 1.98 (s, 3H,  $\text{C}_3-$ -CH<sub>3</sub>), 1.81 (ddd,  $J=12.3, 8.2, 4.6$  Hz, 1H,  $\text{C}_1-$ -H<sub>a</sub>), 1.68-1.20 (4 m, 7H,  $\text{C}_1-$ -H<sub>b</sub>,  $\text{C}_1-$ -H<sub>a</sub>,  $\text{C}_2-$ -H<sub>a</sub>,  $\text{C}_3-$ -H<sub>a</sub>,  $\text{C}_4-$ -H<sub>a</sub>), 1.08-0.98 (m, 1H,  $\text{C}_3-$ -H<sub>b</sub>), 0.93-0.83 (m, 18H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>), 0.82 (d,  $J=6.7$  Hz, 3H,  $\text{C}_4-$ -CH<sub>3</sub>), 0.59-0.50 (m, 12H, two Si( $\text{CH}_2\text{CH}_3$ )<sub>3</sub>);  $^{13}\text{C-NMR}$  ( $\text{DMSO-d}_6$ , 125 MHz, 300 K)  $\delta$  164.7, 152.6, 141.2, 118.7, 117.1, 76.3, 67.6, 63.6, 63.6, 60.2, 57.5, 35.5, 35.4, 33.3, 28.7, 22.3, 19.1, 16.8, 14.4, 13.9, 7.1, 7.0, 4.6, 4.3; IR (film)  $\nu_{max}$  3403s (br), 2954s, 2876s, 1507m, 1459m, 1414m, 1377m, 1239m, 1185m, 1084s, 1007s, 976w, 802w, 744s, 676w  $\text{cm}^{-1}$ ; MS (ES) m/z (%) 606 (52, [M+Na]<sup>+</sup>), 584 (100, [M+H]<sup>+</sup>).

Methanesulfonic acid (2S,3S)-3-[(E)-(S)-3'-methyl-4'-(2"-methyl-thiazol-4"-yl)-2-triethylsilanyloxy-but-3-enyl]-2-[(S)-4"-methyl-5"-triethylsilanyloxy-pentyl]-oxiranyl methyl ester (XVI)

To a solution of epoxyalcohol (XV) (11.71 g, 20.0 mmol) in  $\text{CH}_2\text{Cl}_2$  (148 ml), was added 10.3 ml (60.1 mmol) of N-ethyldiisopropylamine at 0°C. The resulting mixture was stirred 15 min. at 0°C. Thereupon, methanesulfonylchloride was added (2.33 ml, 30.1 mmol). After stirring for 1 h at 0°C, the reaction solution was quenched with 50 ml of  $\text{H}_2\text{O}$  and 50 ml of TBME. The layers were separated and the aqueous layer was washed with TBME (50 ml). The combined organic extracts were washed with 0.1N HCl (40 ml), saturated aqueous  $\text{NaHCO}_3$  (2x40 ml) and brine (50 ml) and dried over

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MgSO<sub>4</sub>. Concentration in vacuo provided 13.7 g of epoxy mesylate (XVI) which did not require any further purification.  $R_f=0.55$  (SiO<sub>2</sub>, 1:1 heptane-AcOEt). <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 400 MHz, 300 K)  $\delta$  7.34 (s, 1H, C<sub>5'</sub>-H), 6.49 (s, 1H, C<sub>4'</sub>-H), 4.38 (d, J=11.4 Hz, 1H, C<sub>1'</sub>-H<sub>a</sub>), 4.34 (dd, J=8.2, 3.7 Hz, 1H, C<sub>2'</sub>-H), 4.07 (d, J=11.4 Hz, 1H, C<sub>1'</sub>-H<sub>b</sub>), 3.43 (dd, J=9.8, 5.9 Hz, 1H, C<sub>5'</sub>-H<sub>a</sub>), 3.37 (dd, J=9.8, 6.3 Hz, 1H, C<sub>5'</sub>-H<sub>b</sub>), 3.33 (s, 3H, SO<sub>2</sub>CH<sub>3</sub>), 3.06 (dd, J=7.0, 4.6 Hz, 1H, C<sub>3'</sub>-H), 2.66 (s, 3H, C<sub>2'</sub>-CH<sub>3</sub>), 2.03 (s, 3H, C<sub>3'</sub>-CH<sub>3</sub>), 1.89 (ddd, J=15.2, 7.0, 3.7 Hz, 1H, C<sub>1'</sub>-H<sub>a</sub>), 1.77-1.20 (5 m, 7H, C<sub>1'</sub>-H<sub>b</sub>, C<sub>1'</sub>-H<sub>c</sub>, C<sub>2'</sub>-H<sub>a</sub>, C<sub>4'</sub>-H), 1.12-1.03 (m, 1H, C<sub>3'</sub>-H<sub>b</sub>), 0.97-0.88 (m, 18H, two Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>), 0.85 (d, J=6.6 Hz, 3H, C<sub>4'</sub>-CH<sub>3</sub>), 0.63-0.50 (m, 12H, two Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>, 125 MHz, 300 K)  $\delta$  164.2, 152.3, 140.5, 118.6, 117.0, 75.8, 72.1, 67.2, 60.4, 57.8, 36.6, 35.1, 34.6, 32.8, 28.1, 21.7, 18.9, 16.5, 13.6, 6.8, 6.7, 4.3, 4.0; MS (ES+) m/z (%) 684 (100, [M+Na]<sup>+</sup>), 662 (54, [M+H]<sup>+</sup>).

Methanesulfonic acid (2S,3S)-2-[(S)-5'-hydroxy-4'-methyl-pentyl]-3-[(E)-(S)-3"-methyl-4"-("2"-methyl-thiazol-4"-yl)-2-triethylsilyloxy-butynyl]-oxiranyl-methyl ester (XVII)

A solution of bis-TES ether (XVI) (4.89 g, 7.38 mmol) in THF/AcOH/H<sub>2</sub>O (10:2:1 v/v/v, 115 ml) was heated at 50° C. for 15 h. To quench the reaction, 460 ml of saturated aqueous solution of NaHCO<sub>3</sub> was added and the mixture was extracted with TBME (2x250 ml). The organic layers were combined and washed with brine, dried over MgSO<sub>4</sub> and concentrated in vacuo to give 4.73 g of crude product. Purification by flash-chromatography (SiO<sub>2</sub>; 30-60% AcOEt/hexane gradient elution) afforded 3.10 g (79% over two steps based on XV) of alcohol (XVII).  $R_f=0.15$  (SiO<sub>2</sub>, 1:1 heptane-AcOEt); <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 400 MHz, 300 K)  $\delta$  7.42 (s, 1H, C<sub>5'</sub>-H), 6.50 (s, 1H, C<sub>4'</sub>-H), 4.38 (d, J=11.3 Hz, 1H, C<sub>1'</sub>-H<sub>a</sub>), 4.36 (dd, J=8.3, 4.6 Hz, 1H, C<sub>2'</sub>-H, partially obscured by C<sub>1'</sub>-H<sub>a</sub>), 4.07 (d, J=11.8 Hz, 1H, C<sub>1'</sub>-H<sub>b</sub>), 3.30-3.23 (m, 1H, C<sub>5'</sub>-H<sub>a</sub>), 3.20 (s, 3H, SO<sub>2</sub>CH<sub>3</sub>), 3.22-3.14 (m, 1H, C<sub>3'</sub>-H<sub>b</sub>, partially obscured by SO<sub>2</sub>CH<sub>3</sub>), 3.06 (dd, J=7.1, 4.4 Hz, 1H, C<sub>3'</sub>-H), 2.67 (s, 3H, C<sub>2'</sub>-CH<sub>3</sub>), 2.04 (s, 3H, C<sub>3'</sub>-CH<sub>3</sub>), 1.90 (ddd, J=14.2, 8.3, 4.4 Hz, 1H, C<sub>1'</sub>-H<sub>a</sub>), 1.70-1.58 (m, 1H, C<sub>1'</sub>-H<sub>b</sub>), 1.54-1.32 (m, 6H, C<sub>1'</sub>-H<sub>a</sub>, C<sub>2'</sub>-H<sub>a</sub>, C<sub>3'</sub>-H<sub>a</sub>, C<sub>4'</sub>-H), 1.10-0.98 (m, 1H, C<sub>3'</sub>-H<sub>b</sub>), 0.93 (t, J=8.0 Hz, 9H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>), 0.84 (d, J=6.6 Hz, 3H, C<sub>4'</sub>-CH<sub>3</sub>), 0.60 (q, J=8.0 Hz, 6H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>); <sup>13</sup>C-NMR (DMSO-d<sub>6</sub>, 100 MHz, 300 K)  $\delta$  165.1, 153.2, 141.5, 119.4, 117.9, 76.6, 73.3, 67.0, 61.2, 58.6, 37.6, 36.0, 35.5, 33.9, 29.0, 22.6, 19.7, 17.5, 14.9, 14.5, 7.63, 7.58, 5.1; IR (film)  $\nu_{max}$  3410s (br), 2955s, 2876s, 1655w, 1505w, 1459m, 1414m, 1359s, 1270w, 1239w, 1177s, 1081m, 1006m, 958m, 833m, 745m, 529m cm<sup>-1</sup>; MS (ES+) m/z (%) 586 (5, [M+K]<sup>+</sup>), 570 (100, [M+Na]<sup>+</sup>), 548 (8, [M+H]<sup>+</sup>).

Methanesulfonic acid (2S,3S)-3-[(E)-(S)-3'-methyl-4"-("2"-methyl-thiazol-4"-yl)-2-triethylsilyloxy-but-3-enyl]-2-[(S)-4"-methyl-5"-oxo-pentyl]-oxiranyl-methyl ester (1)

To a solution of alcohol (XVII) (2.00 g, 3.65 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 ml) at 0° C. was added sequentially triethylamine (3.86 ml, 27.7 mmol), DMSO (6.48 ml, 91.3 mmol) and pyridinium.SO<sub>3</sub> complex (2.32 g, 14.6 mmol) in DMSO (26 ml). The resulting solution was stirred 1 h at 0° C. The reaction was quenched with a aqueous solution of NaHSO<sub>4</sub> (40%, 120 ml) and TBME (150 ml). The layers were separated and the organic layer was washed with saturated aque-

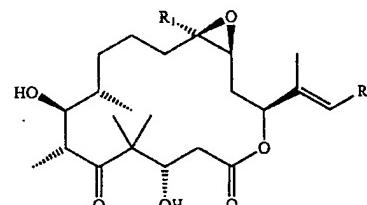
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ous NaHCO<sub>3</sub> (2x100 ml). These aqueous layers were back-extracted with TBME (2x100 ml). The organic extracts were combined and washed with brine (100 ml), dried over MgSO<sub>4</sub> and concentrated in vacuo to give 1.94 g of crude product. Purification by flash-chromatography (SiO<sub>2</sub>, 50-70% AcOEt/hexane gradient elution) provided 1.63 g (82%) of pure aldehyde (1).  $R_f=0.30$  (SiO<sub>2</sub>, 1:1 heptane-AcOEt); <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 500 MHz, 300 K)  $\delta$  9.61 (d, J=1.7 Hz, 1H, CHO), 6.95 (s, 1H, C<sub>5'</sub>-H), 6.52 (s, 1H, C<sub>4'</sub>-H), 4.33 (dd, J=4.4 Hz, 1H, C<sub>2'</sub>-H, partially obscured by C<sub>1'</sub>-H<sub>a</sub>), 4.34 (d, J=11.4 Hz, 1H, C<sub>1'</sub>-H<sub>a</sub>), 4.08 (d, J=11.4 Hz, 1H, C<sub>1'</sub>-H<sub>b</sub>), 3.11 (dd, J=7.8, 3.9 Hz, 1H, C<sub>3'</sub>-H), 3.05 (s, 3H, SO<sub>2</sub>CH<sub>3</sub>), 2.71 (s, 3H, C<sub>2'</sub>-CH<sub>3</sub>), 2.33 (m, 1H, C<sub>4'</sub>-H), 2.02 (s, 3H, C<sub>3'</sub>-CH<sub>3</sub>), 1.99-1.32 (6 m, 8H, C<sub>1'</sub>-H<sub>a</sub>, C<sub>1'</sub>-H<sub>b</sub>, C<sub>2'</sub>-H<sub>a</sub>, C<sub>3'</sub>-H<sub>a</sub>), 1.11 (d, J=7.1 Hz, 3H, C<sub>4'</sub>-CH<sub>3</sub>), 0.94 (t, J=8.0 Hz, 9H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>), 0.61 (q, J=8.0 Hz, 6H, Si(CH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>); <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 125 MHz, 300 K)  $\delta$  204.7, 164.8, 152.8, 141.8, 119.2, 115.8, 76.0, 72.0, 60.7, 59.0, 46.3, 37.8, 35.3, 30.5, 28.3, 22.5, 19.4, 14.1, 13.5, 7.0, 4.9; IR (film) v2956s, 2876s, 2720w, 1724s, 1658w, 1508m, 1459m, 1414w, 1358s, 1240w, 1177s, 1079m, 1006m, 957m, 883w, 828m, 745m, 529m cm<sup>-1</sup>; MS (ES+) m/z 546 (100, [M+H]<sup>+</sup>). MS (ES+) m/z 546 (100, [M+H]<sup>+</sup>).

What we claim is:

1. A process for the preparation of epothilone derivatives of formula 9:

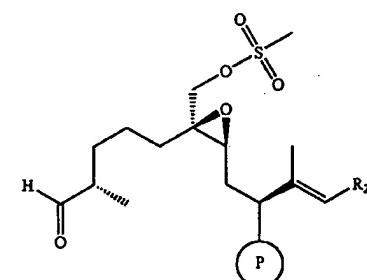


(9)

R1 is methyl;

R2 is an unsubstituted or substituted aryl; an unsubstituted or substituted heteroaryl; or an unsubstituted or substituted heterocyclic radical fused to a benzene nucleus; comprising the steps of:

a) reacting a compound of formula 1:



(1)

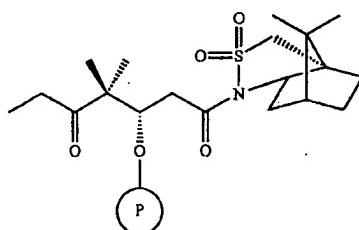
wherein R2 has the meanings given above; wherein a mesylate group of the compound of formula 1 may be replaced with a tosylate group; and

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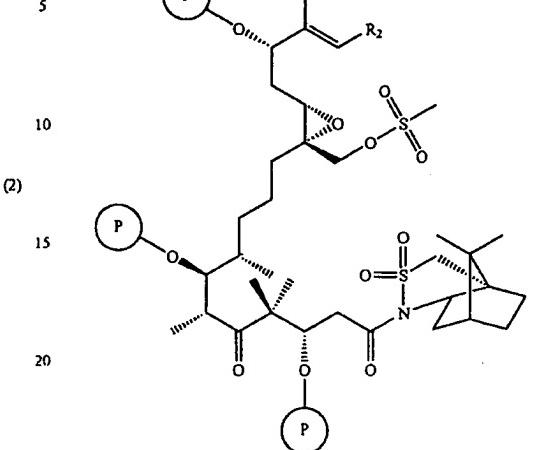
is an alcohol protecting group;  
with a compound of formula 2:



in the presence of a Lewis acid and addition of a base in an inert solvent to yield a compound of formula 3:

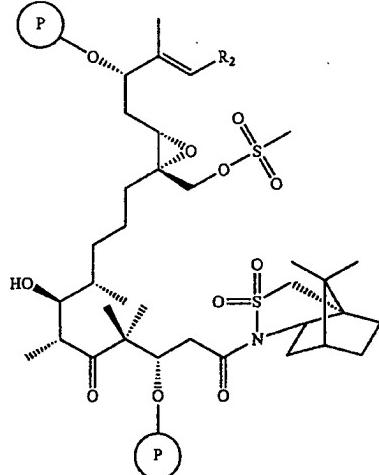
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(4)



wherein R2 and

(3) 30



wherein R2 and



have the above given meanings and wherein a mesylate group of the compound of formula 3 may be replaced with a tosylate group;

b) the reacting compound of formula 3 in the presence of a silyl-ether forming compound to produce the compound of formula 4:

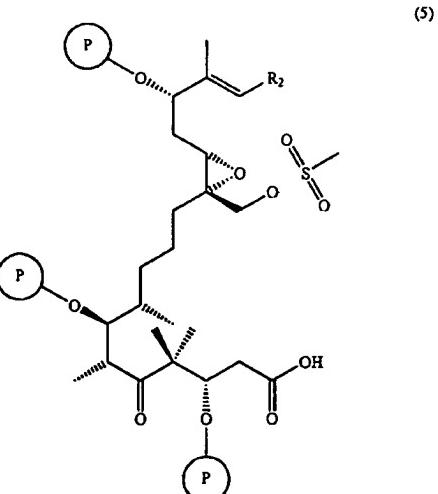


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have the meanings given above and wherein a mesylate group of the compound of formula 4 may be replaced with a tosylate group;

c) converting the compound of formula 4 to produce a compound of formula 5:

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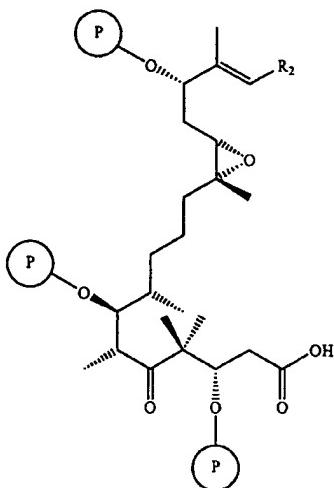
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wherein R2 and



have the meanings given above and wherein the mesylate group of the compound of formula 5 may be replaced with a tosylate group;

d) reacting compounds of above formula 5 with a reducing reagent in an inert solvent to yield a compound of formula 6:

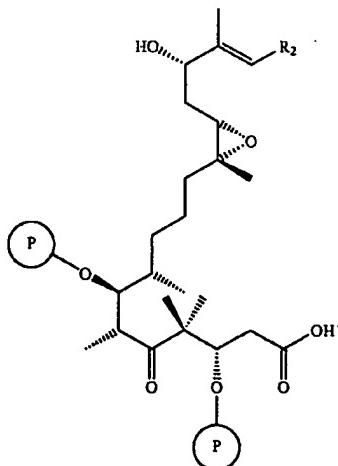


wherein R2 and the



above have given meanings;

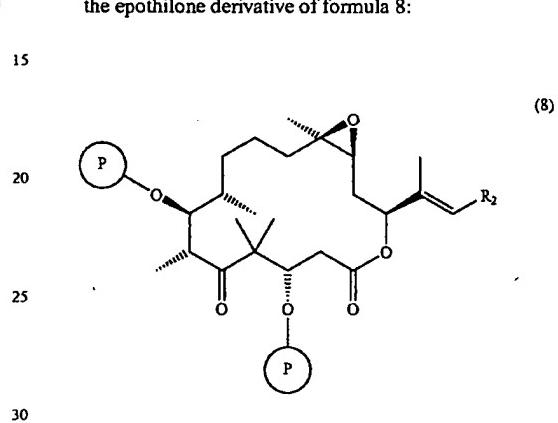
e) hydrolysing the compound of formula 6, to produce a compound of formula 7:



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wherein R2 and

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P

have the above given meanings;  
f) macrolactonizing a compound of formula 7, to produce the epothilone derivative of formula 8:



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wherein R2 and



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have has the above defined meanings; and  
g) treating the compound of formula 8 with HF-pyridine in an inert solvent to produce the epothilone derivatives of formula 9.

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2. The process according to claim 1, wherein in step a) the compound of formula 1 is reacted with the compound of formula 2 in the presence of TiCl4 and Hunig base (iPr2Net) in dichloromethane.

3. The process according to claim 1, wherein in step b) the compound of formula 3 is reacted with a silyl-ether forming compound in the presence of 2,6-lutidine in dichloromethane.

4. The process according to claim 1, wherein in step c) the compound of formula 4 is converted by splitting off the chiral auxiliary group with TBAOH/H2O2 in DME or LiO2H in THF/MeOH/H2O.

5. The process according to claim 1, wherein in step d) the compound of formula 5 is reacted with LiBHEt3 in THF.

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6. The process according to claim 1, wherein in step e) the compound of formula 6 is hydrolysed with TASF or HF pyridine in an inert solvent.

7. The process according to claim 1, wherein in step f) the compound of formula 7 is macrolactonized by treating with Et3N and 2,4,6-trichlorobenzoyl chloride and subsequently reacted with a solution of 4-DMAP in toluene.

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8. The process according to claim 1 wherein a mesylate group of the compound of formula 1 is not replaced with a tosylate group.

9. The process according to claim 1 where step a) first occurs at lower temperatures between -50° to -100° C. and thereafter elevated to temperatures between -20° to +20° C. to obtain the compound of formula 3.

10. The process according to claim 1 wherein step b) occurs at temperature between -7° and 25° C.

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11. The process according to claim 1 wherein step e) the compound of formula 6 is hydrolyzed with a desilylation reagent or an acid in an inert solvent.

12. The process according to claim 11 wherein the acid in an inert solvent is TASF in THF or HF-pyridine in THF.

13. The process according to claim 1 where step a) occurs at a temperature between 0° C. and 30° C.

\* \* \* \* \*